UBL FUNDS
SEQUENTIAL INFORMATION

AS PER S.R.O. 1196 (I)/2019

7th July, 2025

PROFILE OF COMPANY

Vision & Mission

Our Vision:

To be the most trusted asset manager for creating long-term value.

Our Mission:

To deliver lasting value by growing investors' wealth through diligent investment management, innovative solutions and a customer-first commitment while maintaining highest ethical standards.

Company Profile

Status of Company:	Public Interest Company (Category)
Company Registration Number:	K-08280
Registration Date:	April 3rd, 2001
National Tax Number:	1456150-6
No. of Licenses:	03
Issuing Authority :	Security Exchange Commission of Pakistan

Licenses' Issuing Date & Number :

SECP/LRD/LD/30/AMCW/UBL/2025	June 15th, 2025
SECP/LRD/30/AMCW/UBLFML/2023-25	July 15th, 2025
SECP/PW/Reg-05/UBL	July 29th, 2009

Registered Office

Head Office Address:

UBL Fund Managers Ltd.
4th Floor, Syedna Taher Saifuddin Memorial Building.
Beaumont Road, Civil Lines.
Karachi
Pakistan.

Toll Free Helpline: 0800-00026 (from anywhere in Pakistan.)
Helpline International Caller: +92-21-38892219
Email: customercare@ublfunds.com
Website: www.ublfunds.com.pk

Branch Offices

Address: Shop # 8-C, First Floor, Khayaban-E-Shahbaz, Phase VI, D.H.A
City: Karachi
Phone : 021-35854126, 021-35349059

Address: Office # 509, 5th Floor, Parsa Tower, PECHS Block 6, Main Shahrah-e-Faisal
City: Karachi
Phone: 021-38659003

Address: Plot No. 3, R 4th Floor, Almas Tower, M.M. ALAM Road, Gulberg-2
City: Lahore
Phone: 042-111-825-262, 042-35792141-48

Address: 2nd floor, UBL Building, Jinnah Avenue, Blue Area
City: Islamabad
Phone: 051-111-825-262

Address: Office # 13,14,15 & 16, 1st Floor, Chennab Centre, Plot # 104-E, Jinnah Avenue
City: Islamabad
Phone: 051-111-825-262

Branch Offices

Address: Office # F-12 1st Floor, Chen One Tower, 74 Abdali Road
City: Multan
Phone: 061-4543811, 061-4542811, 061-4588053

Address: UBL Civic Center, DM Office (1574), Thandi Sarak
City: Hyderabad
Phone: 022-2728333

Address: UBL Bank Square, Shop # 10, Circular Road, Faisalabad
City: Faisalabad
Phone: 041-9200913

Address: 1st Floor, Al Sayed Tower, Near Khyber CNG, Tehkal Payan, University Road
City: Peshawar
Phone: 091- 5704242-44-45-46

Information & Subsidiary Details

Company Website:

www.ublfunds.com.pk

Company Email Address:

Info@ublfunds.com

Customer Services Email Address

Our Subsidiary
customercare@ublfunds.com
UBL Financial Services (Pvt.) Ltd

Website:	https://www.alameenfunds.com/
Head Office:	UBL Fund Managers Ltd. 4th Floor, Syedna Taher Saifuddin Memorial Building. Beaumont Road, Civil Lines. Karachi Pakistan.
Phone:	0800-00026

GOVERNANCE

Profile of the Board of Directors

Mr. Imran Sarwar (Chairman & Director)

Mr. Imran Sarwar has over 25 years of leadership, management, strategy and risk experience in banking. He holds a business degree from Ohio Wesleyan University and Law from the University of Punjab.

Mr. Imran Sarwar is currently the Group Executive –Risk and Credit Policy, Chief Risk Officer at United Bank Limited (UBL)-Karachi. Prior to joining UBL, he held various senior positions at Standard Chartered Bank UAE and Pakistan. His last role was Head of Corporate Banking UAE.

Profile of the Board of Directors

Mr. Asif Ali Qureshi, CFA (Chief Executive Officer & Director)

Mr. Asif Ali Qureshi has over 25 years of experience in the financial sector, spanning banking, economic and financial research, investment banking, consulting, teaching, training, and entrepreneurship. He co-founded two stock brokerage companies and an online financial database portal. Mr. Asif's key areas of focus for analytical work include the economy, financial services, and energy.

He also served as a member of the Prime Minister's Economic Advisory Council in 2021. He has consulted for the ADB, WB, and FCDO. He is a member of the Capital Market Advisory Council of the Ministry of Finance and various committees established by the SECP and MOF.

Profile of the Board of Directors

Mr. Farrukh Karim Khan (Director)

Mr. Farrukh Karim Khan is a seasoned finance professional with close to two decades of experience in investment management, economic strategy, and financial advisory. He holds a Bachelor of Science degree from LUMS and is a CFA charter holder. Currently he is serving as Chief Strategy Officer and Chief Economist at UBL, he has previously held leadership roles including CEO of TrueNorth Advisors, Chief Investment Officer at Providus Capital, and Head of Equities at Next Capital. He has also worked in key financial institutions such as KASB Securities, JS Investments, and Credit Suisse Singapore. He also serves as a Director on the boards of TrueNorth Advisors, Kaabil Technology and MindStir.

Profile of the Board of Directors

Mr. Rashid Ahmed Jafer (Director)

Mr. Rashid Ahmed Jafer has total experience of 37 years with M/s. A.F. Ferguson & Co., including 23 years as a partner in the organization. He is a Fellow member of the Institute of Chartered Accountants of Pakistan (ICAP) and has an expertise in statutory audit.

He has been a member of the Professional Standards & Technical Advisory Committee of ICAP for several years and is also a member of the SBP – ICAP Coordination Committee.

Profile of the Board of Directors

Mr. Muhammad Rizwan Malik (Director)

Muhammad Rizwan Malik is a seasoned treasury professional with a rich experience of over 20 years working in leading local and international banks in Pakistan. Rizwan is currently working as the Global Head of Treasury & Capital Markets at UBL, overseeing an investment book of over PKR 1 trillion in domestic market and USD 700mn in the global markets.

Prior to joining UBL, Rizwan was associated with Bank Alfalah where he grew Treasury business revenue and through his collaborative approach played an instrumental role in augmenting the trade & remittances business as Head of FX Sales and Trading. Mr. Malik has previously been associated with top financial institutions such as HBL, SCB and Barclays in different treasury roles.

Mr. Malik holds an MBA from the Institute of Business Administration (IBA) Karachi and has remained a very active member of Financial Market Association of Pakistan (FMAP). He was a member of executive committee of FMAP during 2003-2006 term.

Profile of the Board of Directors

Mr. Alee Khalid Ghaznavi (Director)

Mr. Alee is having overall 23 years of diversified experience in the Financial services industry having previously been associated with organizations like Habib Bank AG Zurich, National Bank of Pakistan, Allied Bank and ABL Asset Management. He has previously held the position of Chief Executive Officer at ABL Asset Management spearheading both conventional and Islamic Fund Management. At present, he is the Head of Islamic Banking at UBL Bank Limited.

Profile of the Board of Directors

Ms. Huma Pasha (Independent Director)

Huma joined Usmani & Co (UCO) in 2015 and brings with her over 35 years of local and international working experience for various global institutions including Citibank, Hub Power Company, and Dawood Hercules group in several management capacities and earned a wide range of experience in the power, fertilizer and textile sector. At Hubco initially she led treasury function and successfully concluded substantial treasury deals and later headed their internal audit function for over 20 years. After leaving Hubco she was associated with Dawood Hercules group for almost three years as group chief internal auditor. Her extensive working experience has sharpened her skills and is now managing multiple clients of UCO for providing effective internal audit services.

Huma heads UCO's advisory services she provides expert professional advice to a variety of clients on areas of obligor's risk rating reviews, business due diligences, complex solutions for revival of corporates in distress situations etc. Her proactive approach allows in building clients' confidence and gain their support. She is highly passionate for training services and more frequently indulge herself in various trainings for firm's clients as well as for highly reputed professional institutions of Pakistan on directors training, Board performance evaluation, internal audit, and sustainable business propositions.

Huma has served on the Audit Committee of the State Bank of Pakistan Banking Services Corporation and carried out quality control review of State Bank of Pakistan's internal audit functions and served on the Quality Assurance Board of ICAP.

Other significant positions held were on the Board of Institute of Internal Auditors International USA, President of IIA Karachi Chapter, and President of ISACA Karachi Chapters. Huma has also served as a Chairperson of Workstream Automation Ltd. a software firm.

Profile of the Board of Directors

Mr. Rashid Ahmed Jafer (Independent Director)

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He has been a member of the Professional Standards & Technical Advisory Committee of ICAP for several years and is also a member of the SBP – ICAP Coordination Committee.

Shareholding Pattern

(As on June 30, 2025)

Categories of shareholders	Share held	Percentage
Directors and Chief Executive Officer	2	0.00%
Associated Companies, undertakings and related parties.	32,870,998	98.87%
Individuals	376,787	1.13%
Grand Total	**33,247,787**	**100%**

Auditors & Legal Advisors

Auditors:

Yousuf Adil
A.F. Ferguson & Co.
PWC
EY Ford Rhodes
BDO Ebrahim & Co.

Legal Advisor:

Akhund Forbes

INVESTOR RELATIONS

Investor Relations

S.no	Particular	Link
1	Online form/contact details of person(s) designated by the company for assisting and handling investors' complaints and grievances;	https://www.ublfunds.com.pk/get-in-touch/feedback-complaints/
2	Updated logo of SECP Service Desk Managements System's ("SDMS") duly linked to the URL: https://sdms.secp.gov.pk/ (the logo is available at www.secp.gov.pk/document/revised-sdms-logo/) along with the following disclaimer: "In case your complaint has not been properly redressed by us, you may lodge your complaint with Securities and Exchange Commission of Pakistan (the "SECP"). However, please note that SECP will entertain only those complaints which were at first directly requested to be redressed by the company and the company has failed to redress the same. Further, the complaints that are not relevant to SECP's regulatory domain/competence shall not be entertained by the SECP."	https://www.ublfunds.com.pk/get-in-touch/feedback-complaints/
3	Notices of general meetings, dividends / bonus declarations and right issue;	https://www.ublfunds.com.pk/announcements/
4	Last Date of Website Update	https://www.ublfunds.com.pk

MEDIA

Media

S.no	Particular	Link
1	National /International Awards, recognition, if any;	https://www.ublfunds.com.pk/who-we-are/get-to-know-us/
2	Member of Industry Association:	Mutual Funds Association of Pakistan (MUFAP)
3	Any other announcements or clarifications issued by the company; and	https://www.ublfunds.com.pk/announce-ments/

INFORMATION REQUIRED FOR VISITORS

Information Required for visitors

S.no	Particular	Link
1	Information of companies' own complaint handling cell	Complaint Handling Cell Call: 0800-00026 / 0800-26336 International Dialers: +92-21-38892218-9 Email: customercare@ublfunds.com

OTHER INFORMATION

Other Information

S.no	Particular	Link
	Investor Information	
1	Annual reports for the last three financial years;	https://www.ublfunds.com.pk/download/financial-statements/
2	Quarterly financial statements issued during the current year as well as past three years;	https://www.ublfunds.com.pk/download/financial-statements/
	Placement of financial statements	
3	Every listed company shall place annual report including financial statements and mandatory reports, at least twenty one days prior to the date of holding of annual general meeting and disclosure of its availability on website shall be given in the notice of annual general meeting disseminated to members of the company.	https://www.ublfunds.com.pk/download/financial-statements/
4	Quarterly financial statements and reports shall be placed on website of a listed company within seven days from the date of approval by the board.	https://www.ublfunds.com.pk/download/financial-statements/
5	Every listed company shall ensure that financial statements, reports and other information are available in internationally acceptable, user friendly, easily accessible and downloadable electronic format.	https://www.ublfunds.com.pk/download/financial-statements/

UBL FUNDS

الامین فنڈز
al-ameen funds
Managed by UBL Fund Managers Limited

Smart Savings

call 0800-00026 | sms INVEST to 8258 | www.UBLFunds.com | CustomerCare@UBLFunds.com

For Smart Whatsapp self service please save +9221-111-825-262 and send HI.

Rated 'AM1' by VIS