

# Know Your Customer (KYC) Form

Date تاریخ

 -  - 

Customer ID ID کسٹمر

 - 

(For Office Use) دفتر استعمال کے لیے



Name of Principal unit holder / Joint account holder

Mr. Ms. Mrs.

  

نام محترمہ مسز

(Please write in block letters) (براہ کرم بڑے حروف میں لکھیں)

For Profile Update; or

For Dormancy Status Removal (please also share valid CNIC);  
(This will be treated as request for re-activation of the account.)

1

## Know Your Customer (KYC) (Mandatory) (کسٹمر کی پہچان (لازمی))

Please provide the following details pursuant to Anti Money Laundering, Combating the Financing of Terrorism and Countering Proliferation Financing Regulations, 2020 issued by the Securities Exchange Commission of Pakistan

برائے مہربانی مندرجہ ذیل تفصیلات سیکورٹیز ایکسچینج کمیشن آف پاکستان کی جاری کردہ ضوابط، انسداد منی لانڈرنگ، دہشت گردی کی مالی معاونت کا مقابلہ کے پھیلاؤ ۲۰۲۰ کے تحت فراہم کریں۔

Profession:

Service/Salaried  Self-employed  Retired  House wife  Student

Other: \_\_\_\_\_  Relationship with person on whom dependent upon \_\_\_\_\_

Note: In case of Housewife, Student and Others, if dependent on any other person, then kindly fill relevant information for that person.

Source(s) of Investments (the principal unit holder or on whom dependent upon)

(Select atleast one / more than one source, along with approximate or estimated annual income)

Salary Rs. \_\_\_\_\_  Business Income Rs. \_\_\_\_\_  Foreign Remittance(s) Rs. \_\_\_\_\_  
 Gift Rs. \_\_\_\_\_  Inheritance Rs. \_\_\_\_\_  Sale of property Rs. \_\_\_\_\_  
 Stocks / Investments / liquid asset as per tax return Rs. \_\_\_\_\_  Others \_\_\_\_\_ Rs. \_\_\_\_\_  
 Agriculture Rs. \_\_\_\_\_ Aggregate Approximate / Estimated Income Rs. \_\_\_\_\_

For Sole Proprietor(s) / Business Income Investors (Principal unit holder or On whom dependent upon)

Geographies Involved  Domestic  Ex - FATA  International

Type of counterparties dealing with  Individual  NPO/Trust  Business  Other \_\_\_\_\_

Your majority of transactions will be carried out through:  Physical  Online

Your expected No. of monthly investment transactions:  0 - 5  6 - 10  11 - 15  More than 15

Your expected No. of monthly redemption transactions:  0 - 5  6 - 10  11 - 15  More than 15

Expected Investment Transactions (Rs.) in a year:  Upto 100,000  100,000 - 800,000  800,001 - 10,000,000  More than 10,000,000

Expected Investment Per Transaction (Rs.):  Upto 25,000  25,000 - 400,000  400,001 - 1,000,000  More than 1,000,000

Your purpose of investment:  Growth  Savings  Regular income  Cash Management

Do you Belong to these professions  Lawyer وکیل  Notary public نوٹری  Real Estate ریل اسٹیٹ ڈیلر  Foreign exchange dealer فارن ایکسچینج ڈیلر

کیا آپ کا تعلق پیشے سے ہے؟

Tax advisor/Accountant ٹیکس ایڈوائزر/ اکاؤنٹنٹ

Gem/ jewelry / Precious stone / Antique جواہرات / زیورات / قیمتی پتھر / نوادرات

Are you a politically exposed person (PEP)

Yes جی ہاں  Local /  Foreign  No نہیں  
ملکی غیر ملکی

(Includes Heads of State or of government, senior politicians, senior government/judicial/military officials of Grade 21 or above, Senior executive of state owned corporations, important political party officials, Senior management/member of board of an international organization).

(اس میں ریاستوں یا حکومت کے سربراہان، سینئر سیاستدان، گریڈ 21 یا اس سے اوپر کے سینئر سرکاری / عدالتی / فوجی عہدیدار، ریاستی ملکیت والے کارپوریشنز کے سینئر اگیڈیکٹو، اہم سیاسی پارٹی عہدیدار، سینئر مینجمنٹ / کسی بین الاقوامی تنظیم کے بورڈ کے ممبر شامل ہیں)۔

Are you a family member or close associate of any Politically exposed person?

Yes جی ہاں  Local /  Foreign  No نہیں  
ملکی غیر ملکی

کیا آپ کسی سیاسی شخصیت کے خاندان کے رکن یا قریبی ساتھی ہیں؟

Has any financial institution refused to open your account?

Yes جی ہاں  No نہیں

کیا کسی مالی ادارے نے آپ کا اکاؤنٹ کھولنے سے انکار کیا ہے؟

Do you have any links to offshore tax haven countries?

Yes جی ہاں  No نہیں

کیا آپ کا غیر ملکی ٹیکس سیونگ ممالک سے کوئی تعلق ہے؟

Note: Please use separate KYC form for all Joint Holders

Signature: Principal Unit holder / Joint  
Account holder / Participant of Pension  
Fund/ Guardian

Version 4 effective from 21 - 02 - 2024