Account Opening Form For Institutional Investors

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	al-ameen funds								
Customer ID	Managed by UBL Fund Managers Limited								
	(For Office Use) TS2 #								
For assistance in filling out this form,	speak with our Customer Care executive at +9221-35622781 or UAN: 021-111-825-262								
for UBL Funds or email at customerca									
	Operations Office, 4th Floor, STSM Building, Beaumont Road, Civil Lines. Karachi, Pakistan.								
	General Instructions								
	nd in legible handwriting to avoid errors in application processing. If any alteration is made, a countersign								
 is mandatory. 2) Fill the form by yourself or get it filled in your presence. Do not sign and / or submit blank form. 3) Please tick the appropriate box wherever applicable, in-case any field is not relevant, please mark N/A (not applicable) 4) It is the responsibility of the applicant to carefully read and understand the guidelines and instruction provided in this form and the term and condition in the offering document (s) of the relevant scheme prior to submitting the form. 5) Application incomplete in any respect and / or not accompanied by required documents are liable to be hold or rejected until complete requirements 									
are fulfilled. 6) Application complete in all respect and car	ying all necessary documentary attachments should be submitted at UBL Fund Managers Investment								
	tion Outlets, or UBL Funds Managers Operation Office: 4th Floor, STSM building, Beaumont road, Civil								
7) Please obtain acknowledgment receipt ag									
8) For assistance in filling this form or information	ation on about our products and services call our Corporate & Institutional Sales department.								
	Guidelines								
 2) Payment shall be made in the form of che 3) Payment shall be made In favor of 'CDC 1 Funds' or 'Al-Ameen Funds' instrument sh 4) If payment instrument is returned, the app 5) It should be the responsibility of applicant 6) Front-end load (charges) will be applicable 	 Cash will not be accepted. Payment shall be made in the form of cheque, demand draft payorder or Online account transfer. Payment shall be made In favor of 'CDC Trustee < Name of Fund >, (In case of investment in funds) and in favor of 'CDC Trustee UBL Funds' or 'Al-Ameen Funds' instrument should be crossed account payee only. If payment instrument is returned, the application will be rejected. It should be the responsibility of applicant to pay all charges and taxes in relation to the unit purchased. Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s) In case of partnership firm or trust, application should be made in the name of the partnership or trust. 								
1) Unit Holder's Details									
Entity name/ Messrs: NTN									
Number: Image: Constraint of the second se	Registration date:								
Regulated person: Public company:	Leasing company NBFC Modaraba Stock broker Insurance Listed Unlisted								
OPrivate Company OSole Proprietor	Registered _(Please provide registration certificate) Unregistered								
Executors / Administrators	Club / Society Association of Person								
Financial Institution O Commercial Bank	Micro-Finance Investment Stock Broker Insurance Company								
Retirement Fund OPension Fund	O Provident O Gratuity O Workers Profit O Super Annunciation Fund								
	Fund Fund Participation								
Recognized	Unrecognized								
Partnership ORegistered									
NGO NPO	⊖ Trust								
Other (please specify)									
Registered Address:									

Correspondence Address:][] []										
Office phone] _]_][]															
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Primary contact person name][][][][][][][][][][][][][
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2) Bank Ac	cou	nt C)eta	ils																																
Bank Account [Details	s (atle	east	one) of	Prin	icipa	al U	nit l	lolo	ler I	Man	ndat	ory)/																					
Bank Account nu	ımber	/ IBA	۸N																																	
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											7															1][
Bank Name											_) [][_][][_][][Brar	nch (Code	e		」 [] []							
Bank Address					T																												1	7		
3) Account (Oper	atir	ng i	nsi	tru	ctic	ons	;																												
Tick one as appr	ropria	te:		()s	ingl	e Si	gna	ator	4					0			olde	ers				C) 4	All a	uthc	orize	ed s	igna	atori	ies					
Other (please spe	ecify)															(an	y in	/0)																		
4) Dividend p	bayo	ut i	nsti	ruc	tio	n																														
Tick one as appr	ropria	te:		() F	Rein	vest	t in	the	Fun	ıd(s) / F	Plan	(s)									C) [Distr	ibut	e in	the	for	m o	of ca	sh				1

Note: If no option is selected, any dividends declared will be reinvested in the fund(s) / Plans(s).

5) Units Mode of Holding

I/We would like to confirm Units Mode of hold as per option selected below tick anyone

Account Statement	Unit will be issued in registered, (non-certificate) form and will be confirmed by means of an Account statement (Physical or E-statement) by the registrar.
O Physical certificates	Unit will be issued in certificate form on payment of Rs/.25 per certificate payment for issuing certificate(s) may be combined with the payment of investment in the particular fund(s). Unless specified a minimum number of certificate will be issued certificate(s) will only be issued for whole number of unit(s) not including any fractional unit(s), if any

Note: If no option is selected, 'Account statement' unit mode of holding will be considered. For investment plans Account statement will be the default units mode of holdings. Whichever option is selected There are procedures laid down in each case of redemption / encasement, conversion and transfer of funds / plans.

6) Investment Details

Name of Scheme (s) *	Type of Units		Amount (Rs.)
1			
2			
3			
4			
Total Investment Amount (Rs)			
In Words			
	Order O Short Name(Dra	Demand Draft wn On)	O Online Transfer O RTGS Branch Code
	•	Demand Draft	O Online Transfer O RTGS
Instrument Number Bank S	Short Name(Dra	wn Un)	Branch Code
Branch Name			
3- Mode of Payment O Cheque O Pay C	-	Demand Draft	O Online Transfer O RTGS
Instrument Number Bank SH	nort Name(Draw	<i>ı</i> n On)	Branch Code
Branch Name			
4- Mode of Payment O Cheque O Pay C Instrument Number Bank S	Drder O	Demand Draft wn On)	O Online Transfer O RTGS Branch Code
Branch Name			

Fund Category and Risk Profile

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Scheme Category اتیکیم کنگری	Fund Name / CIS دنژکانام/CIS	Investment Amount سرماییکاری کی رقم	Front End Load to be Charge (One time cost)**** فرنف ایند لوژ (آب بارک لا ^ت)	Maximum Front End Load (One time cost)*.***
منی مارکیٹ		Rs:	فيصد %	Upto 1%
Risk Profile: Low رسک پروفائل: کم Risk of Principal Erosion:Low risk اصل زرمیں کوثی کا خطرہ :کم		Rs: روچ کې Rs:	Nil	Nil
Shariah Compliant Money Market شریعت کے مطابق منی مارکیٹ Risk Profile: Low	Al-Ameen Islamic Cash Fund الايين اسلامک کيش فندُ	Rs:	Nil	Nil
Risk of Principal Erosion: low risk اصل زرمیں کوفتی کا خطرہ : کم		Rs:	Nil	Nil
Capital Protected (Non Equity) کیپیٹل پروٹیکیڈ (نان! یکوئی) Risk Profile : Low	UBL Special Savings Fund بیش سیونگ فنژ Plan Name* پلان کانامUBL Special Savings Fund - II	Rs:	فيصد %	Upto 2% (May change in different plans)
Risk of Principal Erosion: Low risk اصل زرمیں کٹوتی کا خطرہ : کم	البیش سیونگ فنڈ Plan Name* پلان کانام	Rs:	فيصد %	Upto 1.5% (May change in different plans)
Fixed Return فکسٹر ریٹرن اسکیم Risk Profile: Low Risk of Principal Erosion: Low risk اصل زرمیں کوتی کا خطرہ : کم	Note: At the time of investment, Unit Holder is required to provide maturity instructions as below: UBL Fixed Return Plan- UBLFM Roll-over Disclosure (mandatory): Yes No If No: Convert to UMMF Transfer to Bank A/C Note: Roll-over will be made in Plan of same duration. The terms & conditions including fixed rate of return may vary for rolled over plans depending upon market conditions on the date of roll-over and will be published on website. In case of no instruction or no same duration plan being offered at maturity, the maturity proceeds (net-off tax) will be transferred to your registered Bank Account.	Rs:	Nil	Nil
آمدنی/آنگم Risk Profile: Medium رسک پروفائل : درمیانه Risk of Principal Erosion: Medium risk اصل زرمین کثوتی کا خطرہ: درمیانه	UBL Income Opportunity Fund IBL انگم او پر نیونی فند UBL Government Securities Fund تورنمنٹ سیکیو ر شیز فند	Rs: Rs: يوني ک	فیصد % فیصد %	Upto 1.5% Upto 1%
ا گمریبوانکم Risk Profile: Medium رسک پروفائل:درمیانه Risk of Principal Erosion: Medium risk اصل ز میں کوتی کا خطر : درمیانه	UBL Growth and Income Fund گروتھاورانکم فنڈ UBL	Rs:	فیصر %	Upto 1.5%

Scheme Category اسکیم کنیگری	Fund Name / CIS CIS/نلاکاتا	Investment Amount سرمایهکاری کی رقم	Front End Load to be Charge (One time cost)**** فرنث ایندلود (آیک بارک لاکت)	Maximum Front End Load (One time cost)****
ایلوکیشن ایسٹ ایلوکیشن ایسٹ رسک پروفائل:درمیانہ Risk of Principal Erosion: Medium risk اصل زر میں کٹوتی کا خطرہ: درمیانہ	UBL Asset Allocation Fund ایسٹ ایکونیشن فنڈ	Rs:	ن <i>صر</i> %	Upto 3%
Shariah Compliant Income تر لیعت کے مطابق آنکم رسک پردفائل: درمیانہ Risk of Principal Erosion: Medium risk اصل زرمیں کونی کا خطرہ: درمیانہ	Al-Ameen Islamic Sovereign Fund الاینین اسلامک سوورن فنژ	Rs:	فيمد %	Upto 1%
Shariah Compliant Aggressive Income شریعت کے مطابق ایگر بیوائلم Risk Profile: Medium رسک پردفائل: درمیانه Risk of Principal Erosion: Medium risk اصل زرمین کوثی کا خطرہ: درمیانه	Al-Ameen Islamic Aggressive Income Fund الامین اسلامک ایگر نیوانکم فنڈ Al-Ameen Islamic Aggressive Income Plan_ الامین اسلامک ایگر نیوانکم پلان	Rs:	فيمد %	Upto 1% (May change in different plan)
Shariah Compliant Asset Allocation شریعت کے مطابق ایسٹ ایلوکیشن Risk Profile: Medium رسک پروفائل:درمیانہ Risk of Principal Erosion: Medium risk اصل زرمیں کوتی کا خطرہ: درمیانہ	Al-Ameen Islamic Asset Allocation Fund الايين اسلامک ايسٹ ايکوکيشن فنڈ	Rs:	فيمد %	Upto 3%
ا يکوئ Risk Profile: High رسک پروفاکل:زیادہ Risk of Principal Erosion: High risk اصل زرمیں کوتی کا خطرہ: زیادہ	UBL Stock Advantage Fund اسٹاک ایڈرانٹیج فنڈ UBL Financial Sector Fund نانٹل سیکرفنڈ UBL	Rs: جوبی Rs:	فیصد % فیصد %	Upto 2.5% Upto 3%
Shariah Complaint Equity شریعت سے مطابق ایکوئی Risk Profile: HIgh Risk of Principal Erosion: High Risk اصل زریٹ کوئی کا خطرہ: زیادہ	Al-Ameen Shariah Stock Fund الاينن شريعار ساك فنڈ Al-Ameen Islamic Energy Fund الايين اسلامک انر جی فنڈ	Rs: Rs: کونی چ	فیصد % فیصد %	Upto 2.5% Upto 3%

Backend/contingent load may apply for early withdrawal before maturity/time period specified in Offering*** Document. **Daily dividend distribution. ***Excluding Taxes. * پیش کش والے دستاویزات میں درج مقررہ معیا دامقررہ مدت سے پہلے رقم نگلوانے کی صورت میں بیک اینڈ/ کنتجنٹ لوڈ لاگوہوسکتا ہے۔ ** ڈیویڈ بزگر کی روز اندیشیم۔*** نیکسوں کے لغیر -

8) Know Your Customer									
Principal line of business									
Parent company name (if applicable)									
Ultimate beneficiary									
Country of incorporation (please specify)									
Note: (This information should be same as mentioned in the entity registration document).									
Expected annual revenue:			_						
Source(s) of Investments (select atleast one / more than one if applicable)									
O Investment Income O Business Income	Donation	C Employee Contributio	on Other						
Geographies Involved									
O Domestic O Ex - FATA O Internati	onal								
Type of counterparties dealing with									
Individual ONPO/Trust OBusines	s								
Your expected No. of monthly investment transaction:	0-5	6-10	0 11-15	O More than 15					
Your expected No. of monthly redemption transaction:	0-5	6-10	0 11-15	O More than 15					
Expected Investment Transactions (Rs.) in a year:	O Upto 100,000	0 () 100,000 - 800,000	0 800,001 - 10,000,000	More than 10,000,00					
Expected Investment Per Transaction (Rs.):	O Upto 25,000	25,000 - 400,000	O 400,001 – 1,000,000	O More than 1,000,000					
Purpose of investment:	Growth	Cash management	Others						
9) Declaration & Signature(s)									

Undertaking

I/we hereby declare that the information provided in this form is true and correct and that I/We am/are authorized to conduct transaction in this account. I/We, hereby give our consent to UBL Funds to share my/our information with any third party(ies) in order to fulfill KYC related verification including NADRA Verisys (including Directors/Trustees/Partners/Ultimate Beneficial Owner/Authorized Signatories or any other person whose verification is required pursuant to regulatory requirement), IBAN, due diligence, Mobile CNIC pairing verification and for improvement in customer services. I/We hereby acknowledge having read and understood the Consolidated Offering Document(s) as amended from time to time, latest Fund Manager Report and/or Fact Sheet of the relevant CIS(s)/ Plan(s). I/We understand to access the Company website to keep myself/ourselves updated before every operation of this account. I/We declare that I/We acknowledge that the provided Ultimate Beneficial Owner information is correct in all respect and the amount invested and the funds invested are legitimate and not generated from Money Laundering Activities. I/We am/are fully informed and understand that investment in units of CIS(s)/ Plan(s) are not bank deposit, not guaranteed and not issued by any person. Shareholder of UBL Funds are not responsible for any loss to investor resulting from the operations of any CIS(s)/ Plan(s) launched by UBL Funds unless otherwise mentioned. I/We hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result of the inaccurate and / or incomplete information by me/us and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I further indemnify UBL Funds from any loss or liability occurring by blocking of accounts due to any administrative action including missing or outdated Source of Income and/or Know Your

Disclaimer

I/We understand that investment in CIS(s)/Plan(s)/ are subject to market risks and fund prices may go up or down based on market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no guaranteed return or capital. I/We hereby also acknowledge that I/We have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website.

Use of name and logo of UBL Bank / UBL Ameen as given above does not mean that they are responsible for the liabilities/obligations of UBL Fund Managers & Al-Ameen Funds or any investment scheme managed by them.

Authorized signature



Note: official company stamp required

For UBL Fixed Return Plans only:

I/We confirm that I/We have understood the details mentioned below at the time of investments.

1. Due to uncontrollable factors/force majeure including but not limited to Pandemics, Debt Restructuring, Wars, Government economic restructuring measures etc. there may be situation where the underlying asset lose their value in any shape, form or manner thereby resulting in loss of principal to the investor;

- 2. In the event of premature redemption, the contingent load, if any, will apply which may result in not delivering the quoted return as well as potential loss in principal investment;
- 3. Contingent load referred to as exit charges (including taxes), against any early redemption(s) of units / withdrawal(s) during subscription period or before the completion of plan would be applicable (non-applicable for cash dividends).
- 4. For information regarding investment policy of respective allocation plans, please refer to consolidated offering document specifically annexure B.
- 5. I/We hereby also agree to inform UBL Fund Managers in writing on specified form, in case of any change in the standing i nstruction regarding maturity.



For UBL Special Savings Plan _____ Only:

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I/We confirm that I/We have understood the details mentioned below at the time of investments.

- 1. Due to uncontrollable factors/force majeure including but not limited to Pandemics, Debt Restructuring, Wars, Government economic restructuring measures etc. there may be situation where the underlying asset losses their value in any shape, form or manner thereby resulting in loss of principal to the investor;
- 2. In the event of premature redemption the contingent load, if any, will apply which may result in not delivering the quoted return as well as potential loss of principal investment;
- Back End Load (Deferred Sales load) referred to as Exit Charges to be deducted including taxes upon redemption of units before completion of thirty six (36) months from commencement of Life of the Plan (excluding units redeemed during Subscription Period & Cash Dividends)
- 4. For information regarding the investment policy of the respective allocation plan, please refer to Annexure 'B' of the offering document & thereto supplemental of 'UBL Special Savings Fund/ UBL Special Savings Fund/ II '

Authorized signature

10) Document Checklist

Before submitting this form, make sure the following documents are attached. if one or more of the documents are missing, your application may be declined or processed with a dealy.

Sole proprietorship	 Photocopy of identity document of the proprietor. Attested copy of registration certificate for registered concerns. Sales Tax registration or NTN, where applicable Registered/ business address. Copy of certificate or proof of membership of trade bodies etc., wherever applicable. Requisition and Declaration of sole proprietorship on business letter head (as per provided format).
Partnership	 Photocopies of identity documents of all the partners and authorized signatories. Attested copy of 'Partnership Deed'. Attested copy of Registration Certificate with Registrar of Firms. In case the partnership is unregistered, this fact shall be clearly mentioned on the Account Opening Form. Authority letter from all partners, in original, authorizing the person(s) to operate firm's account. Registered/ business address. Copy of latest financial statements of partnership
Limited Liability Partnership (LLP)	 Photocopies of identity documents of all the partners and authorized signatories. Certified Copies of: Limited Liability Partnership Deed/Agreement. LLP-Form-III having details of partners/designated partner in case of newly incorporated LLP. LLP-Form-V regarding change in partners/designated partner in case of already incorporated LLP. Authority letter signed by all partners, authorizing the person(s) to operate LLP account. Copy of latest financial statements of partnership
Limited Companies/ Corporations	 Certified copies of: Resolution of Board of Directors for opening of account specifying the person(s) authorized to open and operate the account; Memorandum and Articles of Association; Latest 'Form-A/Form-B'. Incorporate Form II in case of newly incorporated company and Form A / Form C whichever is applicable; and Form 29 in already incorporated companies; Photocopies of identity documents of all the directors and persons authorized to open and operate the account; Photocopies of identity documents of the beneficial owners; Copy of latest financial statements
Branch Office or Liaison Office of Foreign Companies	 A copy of permission letter from relevant authority i.e. Board of Investment. Photocopies of valid passports of all the signatories of account. List of directors on company letter head or prescribed format under relevant laws/regulations. Certified copies of Form II about particulars of directors, Principal Officer etc. in case of newly registered branch or liaison office of a foreign company Form III about change in directors, principal officers etc. in already registered foreign companies branch or liaison office of a foreign company A Letter from Principal Office of the entity authorizing the person(s) to open and operate the account. Branch/Liaison office address.

Trust, Clubs, Societies and Associations etc.	 o Certified copies of: o Certificate of Registration/Instrument of Trust o By-laws/Rules & Regulations o Resolution of the Governing Body/Board of Trustees/Executive Committee, if it is ultimate governing body, for opening of account authorizing the person(s) to operate the account. o Photocopy of identity document of the authorized person(s) and of the members of Governing Body/Board of Trustees /Executive Committee, the Settlor (if any), the Protectors (if any), the beneficiaries and any natural person exercising ultimate effective control over the trust if it is ultimate governing body. o Registered address/ business address where applicable. o List of Major Donors in case operating on Donations. o Copy of latest financial statements.
NGOs/NPOs/ Charities	 o Certified copies of: Registration documents/certificate; By-laws/Rules & Regulations. o Resolution of the Governing Body/Board of Trustees/Executive Committee, if it is ultimate governing; body, for opening of account authorizing the person(s) to operate the account; o Photocopy of the identity document (CNIC/NICOP/Passport whichever applicable) of the authorized person(s) and of members of Governing Body/Board of Trustees /Executive Committee, if it is an ultimate governing body; o Latest Audited Financial Statements; o Registered address/ Business address.

o Updated list of major donors that maintain decision rights with a copy of their identity document.

Agents	o Certified copy of 'Power of Attorney' or 'Agency Agreement'. o Photocopy of identity document of the agent and principal. o The relevant documents/papers, if agent or the principal is not a natural person. o Registered/ Business address
Executors and Administrators	o Certified copy of 'Power of Attorney' or 'Agency Agreement'. o Photocopy of identity document of the agent and principal. o The relevant documents/papers, if agent or the principal is not a natural person. o Registered / Business Address
Govt. Institutions / Semi Govt.	o Registration documents/certificate o By Laws/Rules & Regulation

Note: Tax and zakat Exemption certificates/affidavit are mandatory if exempted, CRS-E and FATCA NFE ,Photocopy of identity documents(i-e valid CNIC/passport) along with list of the all the Directors/trustees/signatories/Executors/Administrators/Authorizers are mandatory for all , kindly note Attested means originally attested from Notary Public)

صرف آفس استعال کے لیے For Office Use Only 1								
ڈ سرمی <u>م</u> وٹر / Distributor	Name of Agent / الجنب كانام/	Sub-Agent / ۲۲۲۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰۰						
Reference/Agent Code ریز ایجند کرز / CRM Lead								
		iC/Location / أَنْكَانَ مَا مَ						
Deposite/CM⊤ Slip #	Acknowledgement Receipt #	Receipt date						