

For assistance in filling out this form, speak with our Customer Care Executive at 0800-00026 or sms HELP to 8258. Please Save 0340-8253863 in your smart-phone to avail Smart Whatsapp self-services, type HI and send. Kindly fill this form with Blue pen.

اس فارم کو بھرنے کے لیے 0800000026 پر ہمارے کسٹمر کیئر ایگزیکٹو سے رابطہ کریں۔
برائے کرم اسمارٹ واٹس ایپ سلف سروس حاصل کرنے کے لئے اپنے اسمارٹ فون میں
0340-8253863 کو محفوظ کریں۔ اور HI لکھ کر بھیجیں۔

عمومی ہدایات **General Instructions & Guidelines**

1) Fill the form in block letters and in legible handwriting to avoid errors in application processing. 2) Fill the form yourself or get it filled in your presence. Do not sign and/or submit blank forms. 3) If any alteration is made, a countersign is mandatory. 4) Applications incomplete in any respect and/or not accompanied by required documents are liable to be held or rejected until complete requirements are fulfilled. 5) It is the responsibility of the applicant to carefully read and understand the guidelines, instructions provided in this form and the terms/conditions in the offering Document(s) of the Funds/Investment Plan(s). For cut-off timings sms CT to 8258.

- (1) درخواست پر کارروائی میں غلطیوں سے بچنے کیلئے فارم کو ہلاک خطوط اور قابل دستی تحریر میں ہڈ کریں (2) خود ہی فارم ہڈ کریں یا اپنی موجودگی میں ہڈ کریں۔ خالی فارم پر دستخط اور جمع نہ کریں۔
(3) اگر کوئی رد و بدل ہوتی ہے تو ایک اور دستخط لازمی ہیں۔ (4) درخواست کسی بھی لحاظ سے نامکمل ہے یا مطلوبہ دستاویزات کے ساتھ نہیں ہے جب تک مکمل تقاضے پورے نہیں ہوتے ہیں تب تک ان کا انعقاد یا مسٹر دھوگا۔
(5) درخواست دہندہ کے ذمہ داری عائد ہوتی ہے کہ وہ اس فارم میں فراہم کی جانے والی مددات اور offering documents / منصوبے کی شرائط و ضوابط کو بغور پڑھے اور سمجھے۔

Principal Unit Holder Information (Mandatory) رئیس یونٹ ہولڈر معلومات

Customer ID ID كسبم

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CNIC Number

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 قومی شناختی کارڈ نمبر

Principal Unit Holder's Name

(Please write in block letters)

2 Joint Unit Holder Information (If Applicable) جو انٹ لوٹ ہولڈر کے متعلق معلومات

1. Name _____ 2. Name _____

3 Administrative Investment Plans Category and Risk Profile

Risk Profile /Principal Erosion Risk	Administrative Investment Plans	Investment Amount	Front End Load*, **
<p><i>Risk Profile: Low</i></p> <p><i>Risk of Principal Erosion: Low</i></p> <p>رہک پروفائل: بہت کم اصل زرمیں کٹوتی کا خطرہ: بہت کم</p>	<p>UBL Mahana Munafa Plan (100% UBL Money Market Fund)</p> <p>Regular Profit Frequency:</p> <p><input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Semi-Annually</p>	Rs: _____	By default / _____ %
<p><i>Risk Profile: Medium</i></p> <p><i>Risk of Principal Erosion: Medium</i></p> <p>رہک پروفائل: درمیانہ اصل زرمیں کٹوتی کا خطرہ: درمیانہ</p>	<p>UBL Wealth Builder Plan - Conservative (75% Income-UGSF & 25% Equity-USF)</p> <p>UBL Wealth Builder Plan - Moderate (50% Income-UGSF & 50% Equity-USF)</p> <p>UBL Children Savings Plan - Conservative (100% Income-UGSF & 0% Equity-USF)</p> <p>UBL Children Savings Plan - Moderate (50% Income-UGSF & 50% Equity-USF)</p> <p>Al-Ameen Islamic Children Savings Plan - Conservative (100% Income-AISF & 0% Equity-ASSF)</p> <p>Al-Ameen Islamic Children Savings Plan - Moderate (50% Income-AISF & 50% Equity-ASSF)</p> <p>Al-Ameen Islamic Wealth Builder Plan - Conservative (75% Income-AISF & 25% Equity-ASSF)</p> <p>Al-Ameen Islamic Wealth Builder Plan - Moderate (50% Income-AISF & 50% Equity-ASSF)</p> <p>Al-Ameen Islamic Mahana Munafa Plan (100% Income-AISF)</p> <p>Al-Ameen Hajj Savings Plan (Income-AISF & Equity-ASSF)</p> <p>UBL Children Savings Plan - Aggressive (50% Aggressive Income-UGIF & 50% Equity-USF)</p>	<p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p>	<p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p>
<p><i>Risk Profile: Medium (<50% equity exposure) to High (>50% equity exposure)</i></p> <p><i>Risk of Principal Erosion: Medium to High</i></p> <p>رہک پروفائل: درمیانہ (>50 فیصد ایکویٹی کا حد) سے زیادہ (<50 فیصد ایکویٹی کا حد) اصل زرمیں کٹوتی کا خطرہ: درمیانہ سے زیادہ</p>	<p>UBL Equity Builder Plan (UGSF & USF)</p> <p>UBL Wealth Builder Plan - Customized (UGSF & USF)</p> <p>_____ % UGSF & _____ % USF</p> <p>Al-Ameen Islamic Equity Builder Plan (AISF & ASSF)</p> <p>Al-Ameen Islamic Wealth Builder Plan - Customized (AISF & ASSF)</p> <p>_____ % AISF & _____ % ASSF</p>	<p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p> <p>Rs: _____</p>	<p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p> <p>By default / _____ %</p>

Risk Profile /Principal Erosion Risk	Administrative Investment Plans	Investment Amount	Front End Load*, **
Risk Profile: High Risk of Principal Erosion: High رہسک پروفائل: زیادہ اصل زمین کوئی کا خطرہ: زیادہ	UBL Wealth Builder Plan - Aggressive (25% Income-UGSF & 75% Equity-USF)	Rs: _____	By default / _____ %
	UBL Children Savings Plan - Very Aggressive (30% Income-UGSF & 70% Equity-USF)	Rs: _____	By default / _____ %
	Al-Ameen Islamic Wealth Builder Plan - Aggressive (25% Income-AISF & 75% Equity-ASSF)	Rs: _____	By default / _____ %
	Al-Ameen Islamic Children Savings Plan - Aggressive (30% Income-AISF & 70% Equity-ASSF)	Rs: _____	By default / _____ %

*Weighted average of underlying Funds as per allocation

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Investment payment details & Unit mode of holding

	Mode of Payment ادا نیگی کے موڈ	Cheque No. چیک نمبر	Bank Name (Drawn on) بینک کا نام	Branch Name & Code برانچ کا نام اور کوڈ
1	<input type="checkbox"/> Cheque <input type="checkbox"/> Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Online Transfer			
2	<input type="checkbox"/> Cheque <input type="checkbox"/> Pay Order <input type="checkbox"/> Demand Draft <input type="checkbox"/> Online Transfer			

Unit mode of holding: ☐ A/c Statement ☐ Physical Certificate ☐ CDS Holding

Incase of CDC Holding Participant ID/IAS ID Client/House/Investor A/c #
سی ڈی سی ہولڈنگ کی صورت میں شریک کا ID گاہک/گھر/سرمایہ کار

Note: Incase you opt for 'physical certificates' as the unit mode of holding, Rs.25/- per certificate will be charged separately
نوٹ: اگر آپ 'فزیکل سرٹیفکیٹ' بناتے ہیں تو وہ یونٹ موڈ آف ہولڈنگ کے طور پر، ہر سرٹیفکیٹ پر 25/- روپے الگ سے وصول کیا جائے گا۔

Guidelines & Instructions: 1) Cash will not be accepted. 2) Payment can be made in the form of a cheque, demand draft, pay order, or online account transfer. 3) If the payment instrument is returned, the unpaid application will be rejected. 4) It should be the responsibility of the applicant to pay all charges and taxes in relation to the units purchased by him/her. 5) Applications by foreign nationals and non-resident individuals shall be accepted subject to existing laws provided the subscription amount is paid by means of remittance through banking channels or through means permitted by the State Bank of Pakistan (SBP). 6) Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s) / Investment Plan(s). 7) if you are opting for physical certificates, and wish to specify the number of units/denominations for the certificates, please provide a separate sheet/request mentioning your requirements.

ہدایات: 1) (ا) کیش قبول نہیں کی جائے گا۔ 2) (ب) ادائیگی چیک، ڈیمانڈ ڈرافٹ، پی آرڈر یا آن لائن اکاؤنٹ کی منتقلی کی شکل میں کی جاسکتی ہے۔ 3) (ج) اگر ادائیگی کا Cheque واپس ہو جائے تو، ادائیگی کی درخواست مسترد کر دی جائے گی۔ 4) (د) درخواست دہندہ کی ذمہ داری عائد ہونی چاہئے کہ وہ اپنے ذریعہ خریدی گئی یونٹوں کے سلسلے میں تمام Charges ادا کرے۔ 5) (ه) غیر ملکی شہریوں اور غیر رہائشی افراد کی جمع کردہ درخواستوں کو موجودہ اجازت کے ذریعہ ترسیلات زر قوانین کے تابع قبول کیا جائے گا بشرطیکہ سبسکرپشن کی رقم بینکار یا چینلز کے ذریعہ یا سٹیٹ بینک آف پاکستان کے بتائے گئے چینلز کے ذریعہ ادا کی جائے۔ 6) (و) فنڈز کے تشکیل داتی دستاویزات کے مطابق سرمایہ کاری پرفرنٹ اینڈ بوجھ کا اطلاق ہوگا۔ 7) (ز) اگر آپ physical سرٹیفکیٹس کا انتخاب کر رہے ہیں، اور سرٹیفکیٹ کے لئے اکائیوں/تعداد بتانا چاہتے ہیں تو، براہ کرم اپنی ضروریات کا تذکرہ کرتے ہوئے اکب الگ شیٹ/درخواست فراہم کریں۔

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Source(s) of investments

Source(s) of Investments (the principal unit holder or on whom dependent upon)

(select atleast one / more than one if applicable)

☐ Salary

☐ Business Income

☐ Foreign Remittance(s)

☐ Stocks / Investments / liquid asset as per tax return

☐ Gift

☐ Inheritance

☐ Sale of property

☐ Agriculture

☐ Other _____

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Declaration for Free Takaful Coverage

I declare for:

not having had any illness requiring a hospital stay, medical treatment or medical follow-up for more than 30 days during the last 2 years

Yes ☐ No ☐

not having been off work for sickness for more than 14 consecutive days during the last 2 years

Yes ☐ No ☐

not having any surgical procedure or medical investigations planned for the next 6 months

Yes ☐ No ☐

I confirm my understanding that failure to disclose a material fact may lead to the rejection of any claim relating to this Takaful Scheme.
Note: All above declarations are mandatory to tick. HQF will be required if 'Yes' is tick to any of the above.

Undertaking

I/we hereby declare that the information provided in this form is true and correct and that I/We am/are authorized to conduct transaction in this account. I/We, hereby give our consent to UBL Funds to share my/our information with any third party(ies) for due diligence, Mobile CNIC pairing verification and for improvement in customer services. I/We hereby acknowledge having read and understood the Consolidated Offering Document(s) as amended from time to time, latest Fund Manager Report and/or Fact Sheet of the relevant CIS(s)/VPS(s)/Plan(s). I/We understand to access the Company website to keep myself/ourselves updated before every operation of this account. I/We declare that I/We am/are the Ultimate Beneficial Owner of the amount invested and the funds are legitimate and not generated from Money Laundering Activities. I/We am/are fully informed and understand that investment in units of CIS(s)/VPS(s)/Plan(s) are not bank deposit, not guaranteed and not issued by any person. Shareholder of UBL Funds are not responsible for any loss to investor resulting from the operations of any CIS(s)/VPS(s)/Plan(s) launched by UBL Funds unless otherwise mentioned.

I/We hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result of the inaccurate and / or incomplete information by me/us and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I/We hereby further confirmed and undertake that the provided account details are correct.

Disclaimer

I/We understand that investment in CIS(s)/Plan(s)/VPS(s) are subject to market risks and fund prices may go up or down based on market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no guaranteed return or capital. I/We hereby also acknowledge that I/We have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website. **Under the Cooling-off Right** Investor can claim, first time investment in a CIS(s)/Plan(s)/VPS(s), through a written request at the applicable NAV on the date of the application within three business days of the said investment. Use of name and logo of UBL Bank / UBL Ameen as given above does not mean that they are responsible for the liabilities/obligations of UBL Fund Managers & Al-Ameen Funds or any investment scheme managed by them.

Date

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تاریخ (dd - mm - yy)

Principal Unit Holder's Signature
پرنسپل یونٹ ہولڈر کے دستخط

1.2.3.
Joint Unit Holder'(s) Signature(s)
جوینٹ یونٹ ہولڈر کے دستخط

8	Glossary				
1	ARC No	Alien Registration Card	14	KYC	Know Your Customer
2	CFT	Combating the Financing of Terrorism	15	MFS	Margin Financing System
3	CIS	Collective Investment Scheme	16	MTS	Margin Trading System
4	CNIC	Computerised National Identity Card	17	NAV	Net Asset Value
5	CPPI	Constant Proportion Portfolio Insurance	18	NBFC & NE Regulations	Non Banking Finance & Companies Notified Entities Regulations
6	CRS	Common Reporting Standard		NICOP	National Identity Card for Overseas Pakistanis
7	DFI	Development Financial Institution	19	NTN	National Tax Number
8	FATA	Federally Administered Tribal Areas	20	POC No	Pakistan Origin Card Number
9	FATCA	Foreign Account Tax Compliance Act	21	TIN	Taxpayer Identification Number
10	IBAN	International Bank Account Number	22	VAS	Value Added Service
11	IC	Investment Center	23	VPS	Voluntary Pension Scheme
12	ID Card	Identification Card	24		
13	IPO	Initial Product Offering			

For Office Use Onlyصرف دفتری استعمال کے لیے

Distributorتقسیم کار

Name of Agentایجنٹ کا نام

Staff-Designationاسٹاف کا عہدہ

Reference/Agent Noایجنٹ نمبر

IC/Locationمقام

Remarksریما رکنس

CRM Lead