

Transaction Form for Additional Investment in Mutual Funds

میوچل فنڈز میں اضافی سرمایہ کاری کے لئے ٹرانزیکشن فارم

For assistance in filling out this form, speak with our
Customer Care Executive at 0800-00026 or sms HELP to 8258.

Please save +9221-111-825-262 in your smartphone to avail smart whatsapp
self service. Type HI and send.

Kindly fill this form with blue pen.

اس فارم کو بھرنے کے لیے 080000026 پر ہمارے کسٹمر کیئر ایگزیکٹو سے رابطہ کریں۔

برائے کرم اسمارٹ واٹس ایپ سلف سروس حاصل کرنے کے لئے اپنے اسمارٹ فون میں +9221-111-825-262 محفوظ کریں۔ اور HI لکھ کر بھیجیں۔

General Instructions & Guidelines عمومی ہدایات

1) Fill the form in block letters and in legible handwriting to avoid errors in application processing. 2) Fill the form yourself or get it filled in your presence. Do not sign and/or submit blank forms. 3) If any alteration is made, a countersign is mandatory. 4) Applications incomplete in any respect and/or not accompanied by required documents are liable to be held or rejected until complete requirements are fulfilled. 5) It is the responsibility of the applicant to carefully read and understand the guidelines, instructions provided in this form and the terms/conditions in the offering Document(s) of the Funds/Investment Plan(s). For cut-off timings sms CT to 8258.

- 1) درخواست پر کارروائی میں غلطیوں سے بچنے کیلئے فارم کو بلاک خطوط اور قابل دستہ تحریر میں پُر کریں (2) خود ہی فارم پُر کریں یا اپنی موجودگی میں پُر کریں۔ خالی فارم پر دستخط اور جمع نہ کریں۔
- 3) اگر کوئی ردوبدل ہوتی ہے تو ایک اور دستخط لازمی ہیں۔ (4) درخواست کسی بھی لحاظ سے نامکمل ہے یا مطلوبہ دستاویزات کے ساتھ نہیں ہے جب تک مکمل تقاضے پورے نہیں ہوتے ہیں تب تک ان کا انعقاد یا مسترد ہوگا۔
- 5) درخواست دہندہ کی ذمہ داری عائد ہوتی ہے کہ وہ اس فارم میں فراہم کی جانے والی ہدایات اور offering documents /منصوبے کی شرائط و ضوابط کو بغور پڑھے اور سمجھے۔

1 Principal Unit Holder Information (Mandatory) پرنسپل یونٹ ہولڈر معلومات

Customer ID ID کسٹمر - CNIC Number قومی شناختی کارڈ نمبر - -

Principal Unit Holder's Name پرنسپل یونٹ ہولڈر کا نام

(Please write in block letters)

2 Joint Unit Holder Information (If Applicable) جوینٹ یونٹ ہولڈر کے متعلق معلومات

1. Name نام 2. Name نام

3 Fund Category and Risk Profile

Scheme Category اسکیم کیگوری	Fund Name / CIS فنڈ کا نام / CIS	Investment Amount سرمایہ کاری کی رقم	Front End Load to be Charge (One time cost)***** فرنٹ اینڈ لوڈ (ایک بار کی لاگت)	Maximum Front End Load (One time cost)*****
Money Market منی مارکیٹ Risk Profile: Low رسک پروفائل: کم Risk of Principal Erosion: Low risk اصل زرمیں کٹوتی کا خطرہ: کم	UBL Money Market Fund UBL منی مارکیٹ فنڈ	Rs: <input type="text"/> روپے	فیصد % <input type="text"/>	Upto 1%
	UBL Liquidity Plus Fund UBL لیکویڈیٹی پلس فنڈ	Rs: <input type="text"/> روپے	Nil	Nil
	UBL Cash Fund* UBL کیش فنڈ	Rs: <input type="text"/> روپے	Nil	Nil
Shariah Compliant Money Market شریعت کے مطابق منی مارکیٹ Risk Profile: Low رسک پروفائل: کم Risk of Principal Erosion: low risk اصل زرمیں کٹوتی کا خطرہ: کم	Al-Ameen Islamic Cash Fund الامین اسلامک کیش فنڈ	Rs: <input type="text"/> روپے	Nil	Nil
	Al-Ameen Islamic Cash Plan-I** الامین اسلامک کیش پلان - I**	Rs: <input type="text"/> روپے	Nil	Nil
Capital Protected (Non Equity) کیپیٹل پروٹیکٹڈ (نان ایکویٹی) Risk Profile : Low رسک پروفائل: کم Risk of Principal Erosion: Low risk اصل زرمیں کٹوتی کا خطرہ: کم	UBL Special Savings Fund Plan Name* <input type="text"/> پلان کا نام	Rs: <input type="text"/> روپے	فیصد % <input type="text"/>	Upto 2% (May change in different plans)
	UBL Special Savings Fund - II Plan Name* <input type="text"/> پلان کا نام	Rs: <input type="text"/> روپے	فیصد % <input type="text"/>	Upto 1.5% (May change in different plans)

*Excluding taxes

<p>Fixed Return فکسڈ ریٹرن اسکیم</p> <p><i>Risk Profile: Low</i> رہسک پروفائل: کم</p> <p><i>Risk of Principal Erosion: Low risk</i> اصل زرمیں کٹوتی کا خطرہ: کم</p>	<p>Note: At the time of investment, Unit Holder is required to provide maturity instructions as below: UBL Fixed Return Fund*- _____ UBL Fixed Return Plan- _____ فکسڈ ریٹرن پلان- _____</p> <p>Roll-over Disclosure (mandatory): <input type="checkbox"/> Yes <input type="checkbox"/> No If No: <input type="checkbox"/> Convert to UMMF <input type="checkbox"/> Transfer to Bank A/C</p> <p>Note: Roll-over will be made in Plan of same duration. The terms & conditions including fixed rate of return may vary for rolled over plans depending upon market conditions on the date of roll-over and will be published on website. In case of no instruction or no same duration plan being offered at maturity, the maturity proceeds (net-off tax) will be transferred to your registered Bank Account.</p>	<p>Rs: _____ روپے</p>	<p>Nil</p>	<p>Nil</p>
<p>Shariah Compliant Fixed Return Scheme شریعت کے مطابق فکسڈ ریٹرن اسکیم</p> <p><i>Risk Profile: Low</i> رہسک پروفائل: کم</p> <p><i>Risk of Principal Erosion: Low risk</i> اصل زرمیں کٹوتی کا خطرہ: کم</p>	<p>Note: At the time of investment, Unit Holder is required to provide maturity instructions as below: Al-Ameen islamic Fixed Return Fund*- _____ Al-Ameen Islamic Fixed Return Plan- _____ الامین اسلامک فکسڈ ریٹرن پلان- _____</p> <p>Roll-over Disclosure (mandatory): <input type="checkbox"/> Yes <input type="checkbox"/> No If No: <input type="checkbox"/> Convert to AICF <input type="checkbox"/> Transfer to Bank A/C</p> <p>Note: Roll-over will be made in Plan of same duration. The terms & conditions including fixed rate of return may vary for rolled over plans depending upon market conditions on the date of roll-over and will be published on website. In case of no instruction or no same duration plan being offered at maturity, the maturity proceeds (net-off tax) will be transferred to your registered Bank Account.</p>	<p>Rs: _____ روپے</p>	<p>Nil</p>	<p>Nil</p>
<p>Income آمدنی / انکم</p> <p><i>Risk Profile: Medium</i> رہسک پروفائل: درمیانہ</p> <p><i>Risk of Principal Erosion: Medium risk</i> اصل زرمیں کٹوتی کا خطرہ: درمیانہ</p>	<p>UBL Income Opportunity Fund UBL انکم اوپورٹونٹی فنڈ</p> <p>UBL Government Securities Fund UBL گورنمنٹ سکیورٹیز فنڈ</p>	<p>Rs: _____ روپے</p> <p>Rs: _____ روپے</p>	<p>فیصد % _____</p> <p>فیصد % _____</p>	<p>Upto 1.5%</p> <p>Upto 1%</p>
<p>Aggressive Income ایگریسو انکم</p> <p><i>Risk Profile: Medium</i> رہسک پروفائل: درمیانہ</p> <p><i>Risk of Principal Erosion: Medium risk</i> اصل زرمیں کٹوتی کا خطرہ: درمیانہ</p>	<p>UBL Growth and Income Fund UBL گروتھ اور انکم فنڈ</p>	<p>Rs: _____ روپے</p>	<p>فیصد % _____</p>	<p>Upto 1.5%</p>
<p>Asset Allocation ایلوکیشن ایسٹ</p> <p><i>Risk Profile: High</i> رہسک پروفائل: زیادہ</p> <p><i>Risk of Principal Erosion: High risk</i> اصل زرمیں کٹوتی کا خطرہ: زیادہ</p>	<p>UBL Asset Allocation Fund UBL ایسٹ ایلوکیشن فنڈ</p>	<p>Rs: _____ روپے</p>	<p>فیصد % _____</p>	<p>Upto 3%</p>
<p>Shariah Compliant Income شریعت کے مطابق انکم</p> <p><i>Risk Profile: Medium</i> رہسک پروفائل: درمیانہ</p> <p><i>Risk of Principal Erosion: Medium risk</i> اصل زرمیں کٹوتی کا خطرہ: درمیانہ</p>	<p>Al-Ameen Islamic Sovereign Fund الامین اسلامک سوورن فنڈ</p> <p>Al-Ameen Islamic Income Fund الامین اسلامک انکم فنڈ</p>	<p>Rs: _____ روپے</p> <p>Rs: _____ روپے</p>	<p>فیصد % _____</p> <p>فیصد % _____</p>	<p>Upto 1%</p> <p>Upto 1.5% / Upto 1.5% (Back-end Load)</p>
<p>Shariah Compliant Aggressive Income شریعت کے مطابق ایگریسو انکم</p> <p><i>Risk Profile: Medium</i> رہسک پروفائل: درمیانہ</p> <p><i>Risk of Principal Erosion: Medium risk</i> اصل زرمیں کٹوتی کا خطرہ: درمیانہ</p>	<p>Al-Ameen Islamic Aggressive Income Fund الامین اسلامک ایگریسو انکم فنڈ</p> <p>Al-Ameen Islamic Aggressive Income Plan _____ الامین اسلامک ایگریسو انکم پلان _____</p>	<p>Rs: _____ روپے</p>	<p>فیصد % _____</p>	<p>Upto 1% (May change in different plan)</p>
<p>Shariah Compliant Asset Allocation شریعت کے مطابق ایسٹ ایلوکیشن</p> <p><i>Risk Profile: Medium</i> رہسک پروفائل: درمیانہ</p> <p><i>Risk of Principal Erosion: Medium risk</i> اصل زرمیں کٹوتی کا خطرہ: درمیانہ</p>	<p>Al-Ameen Islamic Asset Allocation Fund الامین اسلامک ایسٹ ایلوکیشن فنڈ</p>	<p>Rs: _____ روپے</p>	<p>فیصد % _____</p>	<p>Upto 3%</p>

*Excluding taxes

*Excluding taxes

3. For **Al-Ameen Fixed Return Fund**, payment shall be made in the favour of either one of the following:

1. "CDC Trustee – "Al-Ameen Funds"
2. "CDC Trustee – "Al-Ameen Islamic Fixed Return Fund- I"
3. "CDC Trustee – Al-Ameen Islamic Fixed Return Fund- _____ Al-Ameen Islamic Fixed Return Plan- _____ (Please specifically mention the plan name)

3۔ الیمن اسلامک فکسڈ ریٹرن پلان کے لئے ادائیگی درج ذیل میں سے کسی ایک کے حق میں کی جائے گی:

- 1۔ "سی ڈی سی ٹرسٹی – الیمن اسلامک فنڈز"
- 2۔ "سی ڈی سی ٹرسٹی – الیمن اسلامک فکسڈ ریٹرن فنڈ۔ _____ الیمن اسلامک فکسڈ ریٹرن پلان – _____ (براہ کرم خاص طور پر پلان کا نام ذکر کریں)
- 3۔ "سی ڈی سی ٹرسٹی – الیمن اسلامک فکسڈ ریٹرن فنڈ۔ _____ الیمن اسلامک فکسڈ ریٹرن پلان – _____ (براہ کرم خاص طور پر پلان کا نام ذکر کریں)

Source(s) of investments

Source(s) of Investments (the principal unit holder or on whom dependent upon)

(select atleast one / more than one if applicable)

- Salary Business Income Foreign Remittance(s) Stocks / Investments / liquid asset as per tax return
- Gift Inheritance Sale of property Agriculture Other _____

Undertaking & Disclaimer

Undertaking

I/We, hereby give our consent to UBL Funds to share my/our information with any third party(ies) in order to perform KYC related verification including NADRA Verisys, IBAN, due diligence, Mobile CNIC pairing verification and for improvement in customer services. I/We hereby acknowledge having read and understood the Consolidated Offering Document(s) as amended from time to time, latest Fund Manager Report and/or Fact Sheet of the relevant CIS(s)/VPS(s)/Plan(s). I/We understand to access the Company website to keep myself updated before every operation of this account. I/We declare that I/We am/are the Ultimate Beneficial Owner of the amount invested and the funds are legitimate and not generated from Money Laundering Activities. I/We am/are fully informed and understand that investment in units of CIS(s)/VPS(s)/Plan(s) are not bank deposit, not guaranteed and not issued by any person. Shareholder of UBL Funds are not responsible for any loss to investor resulting from the operations of any CIS(s)/VPS(s)/Plan(s) launched by UBL Funds unless otherwise mentioned.

I/We hereby indemnify UBL Funds against any liability, loss or damages, compensation, legal proceedings arising as a result of the inaccurate and / or incomplete information by me and / or due to technical issue in the site / portal / service for the execution of online transaction (online, IBFT & RTGS). I further indemnify UBL Funds from any loss or liability occurring by blocking of accounts due to any administrative action including missing or outdated Source of Income and/or Know Your Customer related information. I/We hereby further confirmed and undertake that the provided account details are correct.

Disclaimer

I/We understand that investment in CIS(s)/Plan(s)/VPS(s) are subject to market risks and fund prices may go up or down based on market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no guaranteed return or capital. I/We hereby also acknowledge that I/We have reviewed and understood detail of Sales Load, the Total Expense Ratio, Back-end and Contingent Load percentages including taxes of the Scheme as disclosed at UBL Fund website. Under the Cooling-off Right Investor can claim, first time investment in a CIS(s)/Plan(s)/VPS(s), through a written request at the applicable NAV on the date of the application within three business days of the said investment.

Use of name and logo of UBL Bank / UBL Ameen as given above does not mean that they are responsible for the liabilities/obligations of UBL Fund Managers & Al-Ameen Funds or any investment scheme managed by them.

Note: Transaction Charges applicable (if any) for online funds transfer will be borne by the Unit Holder.

Date - - Signature: _____ 1. _____ 2. _____ 3. _____
تاریخ (dd - mm - yy) Principal Unit holder / Guardian (Incase of minor) Joint Unit Holder'(s) Signature(s)
پرنسپل یونٹ ہولڈر/سرپرست (اگر درخواست دہندہ نابالغ ہو) جو انٹ یونٹ ہولڈر کے دستخط

For UBL Fixed Return Plan _____ / Al Ameen Islamic Fixed Return Plan _____ only:

I/We confirm that I/We have understood the details mentioned below at the time of investments.

1. Due to uncontrollable factors/force majeure including but not limited to Pandemics, Debt Restructuring, Wars, Government economic restructuring measures etc. there may be situation where the underlying asset lose their value in any shape, form or manner thereby resulting in loss of principal to the investor;
2. In the event of premature redemption, the contingent load, if any, will apply which may result in not delivering the quoted return as well as potential loss in principal investment;
3. Contingent load referred to as exit charges (including taxes), against early redemption of units / withdrawal during subscription period or completion of plan (not applicable on cash dividends).
4. For information regarding investment policy of respective allocation plans, please refer to consolidated offering document specifically annexure B.
5. I/We hereby also agree to inform UBL Fund Managers in writing on specified form, in case of any change in the standing instruction regarding maturity.

Signature: _____
Principal Unit holder / Guardian (Incase of minor) Joint Account Holder Joint Account Holder Joint Account Holder
پرنسپل یونٹ ہولڈر/سرپرست (اگر درخواست دہندہ نابالغ ہو) جو انٹ اکاؤنٹ ہولڈر جو انٹ اکاؤنٹ ہولڈر جو انٹ اکاؤنٹ ہولڈر

For UBL Special Savings Plan _____ Only:

I/We confirm that I/We have understood the details mentioned below at the time of investments.

1. Due to uncontrollable factors/force majeure including but not limited to Pandemics, Debt Restructuring, Wars, Government economic restructuring measures etc. there may be situation where the underlying asset losses their value in any shape, form or manner thereby resulting in loss of principal to the investor;
2. In the event of premature redemption the contingent load, if any, will apply which may result in not delivering the quoted return as well as potential loss of principal investment;
3. Back End Load (Deferred Sales load) referred to as Exit Charges to be deducted including taxes upon redemption of units before completion of thirty six (36) months from commencement of Life of the Plan (excluding units redeemed during Subscription Period & Cash Dividends)
4. For information regarding the investment policy of the respective allocation plan, please refer to Annexure 'B' of the offering document & thereto supplemental of 'UBL Special Savings Fund/ UBL Special Savings Fund II'

Signature: _____

Principal Unit holder / Guardian (Incase of minor)

پرنسپل یونٹ ہولڈر / سرپرست (اگر درخواست دہندہ نابالغ ہو)

Joint Account Holder

جو انٹ اکاؤنٹ ہولڈر

Joint Account Holder

جو انٹ اکاؤنٹ ہولڈر

Joint Account Holder

جو انٹ اکاؤنٹ ہولڈر

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Undertaking (by the Sales agent/ Supervisor)

I, _____, hereby confirm the following:

1. I have explained the risk of the Fund/Plan being subscribed by the investor
2. I have explained that the principal is at risk (in case of high risk funds) and the investor can lose money.
3. I have not made or implied any guarantee with respect to return/profit or the Principal investment amount.
4. I have not quoted any fixed return/profit percentage or amount to the investor except any Fixed Return or Guaranteed return product being offered.
5. I have explained the Risk Profiling Questionnaire to the Investor.
6. I have explained to the Investor about the Sales Load (if any) of the Scheme/Plan in which the investor is investing.

Signature

Name of Sales Agent: _____
(ICM qualified only)

Date:

□□ - □□ - □□□□

Signature

Name of Supervisor: _____

(To sign only in case of non-ICM qualified Sales agent)

Date:

□□ - □□ - □□□□

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Glossary

1	ARC No	Alien Registration Card	14	KYC	Know Your Customer
2	CFT	Combating the Financing of Terrorism	15	MFS	Margin Financing System
3	CIS	Collective Investment Scheme	16	MTS	Margin Trading System
4	CNIC	Computerised National Identity Card	17	NAV	Net Asset Value
5	CPPI	Constant Proportion Portfolio Insurance	18	NBFC & NE Regulation	Non Banking Finance & Companies Notified Entities Regulations
6	CRS	Common Reporting Standard	19	NICOP	National Identity Card for Overseas Pakistanis
7	DFI	Development Financial Institution	20	NTN	National Tax Number
8	FATA	Federally Administered Tribal Areas	21	POC No	Pakistan Origin Card Number
9	FATCA	Foreign Account Tax Compliance Act	22	TIN	Taxpayer Identification Number
10	IBAN	International Bank Account Number	23	VAS	Value Added Service
11	IC	Investment Center	24	VPS	Voluntary Pension Scheme
12	ID Card	Identification Card			
13	IPO	Initial Product Offering			

For Office Use Only صرف دفتری استعمال کے لیے

Distributor / تقسیم کار _____ Name of Agent / ایجنٹ کا نام _____ Staff-Designation / اسٹاف کا عہدہ _____

Reference/Agent No _____ IC/Location _____ Remarks _____

ایجنٹ نمبر _____
CRM Lead □□□□□□□□