

UBL FUNDS
SEQUENTIAL INFORMATION
AS PER S.R.O. 1196 (I)/2019

DEC 2019



PROFILE OF COMPANY



Vision & Mission

Our Vision:

Be the best-in-class, most preferred investment solution provider.

Our Mission:

Focus on superior returns, excellent customer service, and need-based products with the highest ethical and professional standards. Educate the public about investment management services while being accessible to both local and international investors.

Company Profile

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| Status of Company: | Public Interest Company (Category) |
| Company Registration Number: | K-08280 |
| Registration Date: | April 3 rd , 2001 |
| National Tax Number: | 1456150-6 |
| No. of Licenses: | 03 |
| Issuing Authority : | Security Exchange Commission of Pakistan |
| Licenses' Issuing Date & Number : | |
| AMCW/13/UBLFML/AMS/06/2019 | June 17th, 2019 |
| AMCW/01/UBLFM/IAS/01/2019 | July 5th, 2019 |
| SECP/PW/Reg-05/UBL | July 29th, 2019 |

Registered Offices

Head Office Address:

UBL Fund Managers Ltd.
4th Floor, Syedna Taher Saifuddin Memorial Building.
Beaumont Road, Civil Lines.
Karachi
Pakistan.

Phone: 0800-00026

Branch Offices

Address: Shop # 8-C, First Floor, Khayaban-E-Shahbaz, Phase VI, D.H.A;
City: Karachi
Phone : 021-35854126, 021-35349059

Address: Office No. 02, Gulshan Palace, Plot # SB-15, Block 13-C, Scheme # 24, Gulshan-e- Iqbal Near Ashfaq Memorial Hospital
City: Karachi
Phone: 021-34826243, 021-34826245

Address: Shop #1. Ground Floor, Al-Habib complex, Plot #B-71, Block-L, North Nazimabad, K.D.A. Scheme #2,
City: Karachi
Phone: 021-36721661-4

Address: Plot No. 3, R 4th Floor, Almas Tower MM ALAM Road Gulberg 2
City: Lahore
Phone: 042-111-825-262, 042-35792141-48

Address: 2nd floor, UBL Building, Jinnah Avenue, Blue Area.
City: Islamabad
Phone: 051-111-825-262

Branch Offices

Address: Office # 13,14,15 & 16, 1st Floor, Chennab Centre, Plot # 104-E, Jinnah Avenue,
City: Islamabad
Phone: 051-8094260-69

Address: 4th Floor, Chen One Tower, Abdali Road
City: Multan
Phone: 061-4543811, 061-4542811, 061-4588053

Address: Plot # 131, Mezzanine Floor, Saddar Cantt
City: Hyderabad
Phone: 022-2731560-65

Address: Address: Sir Syed Road Branch,
City: Mirpurkhas

Address: UBL Masjid Road Branch
City: Nawabshah

Address: UBL Bank Square, Shop # 10, Circular Road, Faisalabad
City: Faisalabad
Phone: 041-9200913, 041-2639652

Branch Offices

Address: 1st Floor, Saeed Arcade, Plot # 721, Block DGM, Batala Colony, Satiana Road
City: Faisalabad
Phone: 041-8500691-4

Address: Regional Head Quarters UBL, State Life Building, The Mall, Peshawar.
City: Peshawar
Phone: 091-5274678

Address: 1st Floor, Al Sayed Tower, Near Khyber CNG, Tehkal Payan, University Road
City: Peshawar
Phone: 091- 5704242-44-45-46

Address: UBL Sarai Alamgir Branch
City: Jhelum
Phone: 0544-653148

Address: UBL Outside Thimble Bazar, Gujrat Branch
City: Jhelum
Phone: 053-3524774

Branch Offices

Address: UBL branch 0057 67 Kazim Kamal Road Jhelum Cantt
City: Jhelum

Address: Phase 1-VI, The Civic Center, Bahria Town
City: Rawalpindi
Phone: 051-5733090

Address: Rhq Ubl Chamber Of Commerce Branch Trust Plaza G. T Road Gujranwala
City: Gujranwala

Address: UBL Outside Thimble Bazar 0062 Branch Gulzar E Madina Road
City: Islamabad
Phone: 053-3524774

Address: RHQ Office Small Industrial Area Estate Branch 0074, Shahab Road, Sialkot
City: Sialkot
Phone: 052-357780

Address: BL RHQ Farid Gate Branch, United Bank Ltd(0240) Bahawalpur.
City: Bahawalpur
Phone: 062-2880844

Branch Offices

Address: UBL Shahi Road Rahim Yar Khan (0312)

City: Bahawalpur

Address: UBL P.A.F Road Branch, Sargodha

City: Sargodha

Phone: 05827-435159, 0346-5022667

Address: UBL Cantt Branch

City: Mardan

Phone: 023-5841346

Information & Subsidiary Details

Company Email Address:

Info@ublfunds.com

Customer Services Email Address

customercare@ublfunds.com

Our Subsidiary

Al-Ameen Financial Services

Website: <https://www.alameenfunds.com/>

Head Office: UBL Fund Managers Ltd.
4th Floor, Syedna Taher Saifuddin Memorial Building.
Beaumont Road, Civil Lines.
Karachi
Pakistan.

Phone: 0800-00026

GOVERNANCE



Profile of the Board of Directors

Mr. Azhar Hamid (Chairman)

Mr. Azhar Hamid is an international banker with a career spanning over 41 years with Grindlays Bank, ANZ Bank and latterly Standard Chartered Bank. He was appointed as the first Pakistani Country Head of ANZ Grindlays Bank in Pakistan in 1994. After acquisition of the Bank in 2000 by Standard Chartered Bank, was asked to continue as the Country Head of the combined bank, Retired in 2003. After introduction of a Riba free financial system in Pakistan in 1985, he led the team that established the First Grindlays Modaraba which became the most successful and dominant modaraba company in the Country.

Senior overseas assignments with ANZ Bank include UK, Bahrain, Jordan, and Australia. He has served on the board of Grindlays Bahrain Bank in Bahrain.

Upon retirement in 2003 served as Director Main Board of the State Bank of Pakistan which position he relinquished when asked by the Government to establish a Banking Mohtasib (Ombudsman) function in the Country. He was thus the first Banking Mohtasib (Ombudsman) in Pakistan and completed his 3 years term in May 2008.

He has served as Director on the Board of the Bank of Punjab the position which he relinquished in September 2009 and served on the Board of Burj Bank for 3 years up to end 2015. He has also served on several Pakistan Government and State Bank of Pakistan committees on economic reforms and monetary policy.

Profile of the Board of Directors

Mr. Yasir Qadri (Chief Executive Officer)

Mr. Qadri has about 20 years of asset management experience in different positions. He has served as CEO (Chief Executive Officer) of leading AMC's (Asset Management Companies) for the last 12 years. He serves as CEO of UBL Funds since 2016.

Mr. Qadri is a past Chairman of MUFAP (Mutual Funds Association of Pakistan) and has served on the Board of MUFAP and many important pan industry committees for last many years. He has earned an M.B.A. and a B.B.A. degree from the University of Central Oklahoma, USA.

Profile of the Board of Directors

Ms. Naz Khan (Director)

Ms. Naz Khan has worked as the Chief Financial Officer of Engro Corporation Ltd. During this period she was part of the team at Corp that implemented a successful turn-around through several transactions including restructurings, listings and new projects, which resulted in a tripling of the market cap of the company. Prior to her last role at Engro Corporation she was the CFO of Engro Fertilizers.

Previously, Naz has been involved with the financial markets for over 18 years on the asset management, investment banking and broking sides. She was CEO of KASB Funds from 2005 to August 2010 and earlier has been actively involved in primary as well as secondary markets for both debt and equity securities. She has also held key positions of Executive Director, Head of Money Market and Fixed Income, Head of Investment Advisory Division and Co-Head of Investment Banking Division at KASB Securities Limited, where she led major capital market transactions on the debt and equity side.

Naz has also served on the Boards of Mutual Fund Association of Pakistan (MUFAP), Young Presidents' Organization (YPO) and several of the Engro company boards, including publicly listed companies, as well as the recent project companies of Thar and LNG.

Naz has served as a member of various task forces and committees, including the Debt committees of the SECP and the KSE. She has also worked as a consultant for the Asian Development Bank on Mortgage Backed Securities.

Naz holds a B.A. in Economics from Mount Holyoke College, MA, USA and has attended leadership and management courses at INSEAD, Harvard University and Georgetown University.

Profile of the Board of Directors

Ms. Sadia Saeed (Director)

Ms. Sadia Saeed has over 30 years of domestic and international banking experience. She has extensive exposure to almost all departments of commercial banking with leadership roles in Human Resources, SME, Credit and Wholesale Banking. She has been a member of Country and Regional Management Committees at MCB Bank, Standard Chartered Bank Pakistan & UAE.

She holds a Master in Business Administration (MBA) from Institute of Business Administration (IBA)-Karachi. She has attended several Leadership, HR and Credit Seminars including HR Strategy in Transforming Organizations (London Business School) and Managing Change & Change of Management in Asia (INSEAD Singapore Campus).

Ms. Sadia Saeed is currently the Group Executive–Human Resource at United Bank Limited (UBL)-Karachi. Prior to joining UBL, she held senior positions at various banks including Standard Chartered Bank and MCB Bank Limited in the capacity of ‘Regional General Manager SME Banking’ and ‘Group Head Human Resources’.

Profile of the Board of Directors

Mr. Imran Sarwar (Director)

Mr. Imran Sarwar has over 25 years of leadership, management, strategy and risk experience in banking. He holds a business degree from Ohio Wesleyan University and Law from the University of Punjab.

Mr. Imran Sarwar is currently the Group Executive –Risk and Credit Policy, Chief Risk Officer at United Bank Limited (UBL)-Karachi. Prior to joining UBL, he held various senior positions at Standard Chartered Bank UAE and Pakistan. His last role was Head of Corporate Banking UAE.

Profile of the Board of Directors

Mr. Tauqeer Mazhar (Director)

Mr. Tauqeer Mazhar, currently Group Head – Branch Banking at UBL, has a banking career spanning over 28 years in Pakistan and abroad. His last assignment was at Habib Bank Limited where he worked for over seven years, first as Business Head Central Region & Chief Representative Punjab and then as Head Branch Operations.

Tauqeer started his career at CitiBank, Lahore. His later career is interspersed with tenures in global institutions like Samba Bank, ABN Amro and KazInvest Bank (Kazakhstan) with senior assignments at CitiBank in UAE, Saudi Arabia and USA.

As Group Head for Branch Banking at UBL he is responsible for areas of Retail, Consumer and SME banking.

Tauqeer holds an MBA from LUMS, Lahore.

Profile of the Board of Directors

Mr. S. Furrukh Zaeem (Director)

Mr. Zaeem is a banking professional with core experience of Treasury expanding over 22 years. Currently, he is the Treasurer for United Bank limited managing the domestic and international Treasury setup. Since joining UBL in 2008, he has played a pivotal role in streamlining processes and business protocols, enhancing market image and ensuring team building. He has spent a short time as Regional corporate banking Head at UBL which provided him relevant experience into the corporate world. He is a member of the core Team of Game Changers at UBL which is working towards improving the organizational culture and instilling the values across UBL's network. He is currently serving as a member of Board of directors for UBL insurers and UBL Funds.

Prior to UBL, he worked as Executive Vice President and Head of Treasury at JS Bank, where he played an instrumental role in setting up treasury protocols and defining business policies for the newly established Treasury function. He started his professional career with American Express Bank in the year 1995 with Financial Markets & Treasury Division. He spent 11 years and worked in different roles with his last assignment as Head of Financial Markets & Treasurer. He was part of the select group of individuals who were responsible to instill the Blue Box values within the organization.

Mr. Zaeem is associated with the Financial Market Association of Pakistan (FMAP) & member of Investment committee of Pakistan Bankers Association where he plays an active role towards the development of the financial market.. He holds a Master's Degree in Business Administration from the Institute of Business Administration, Karachi, Pakistan

Auditors & Legal Advisors

Auditors:

Deloitte Yousuf Adil

KPMG Taseer Hadi & Co.

PWC

EY Ford Rhodes

BDO Ebrahim & Co.

Legal Advisor:

Akhund Forbes Hadi

INVESTOR RELATION



Investor Relation

| S.no | Particular | Link |
|------|---|---|
| 1 | Online form/contact details of person(s) designated by the company for assisting and handling investors' complaints and grievances; | |
| 2 | Updated logo of SECP Service Desk Managements System's ("SDMS") duly linked to the URL: https://sdms.secp.gov.pk/ (the logo is available at www.secp.gov.pk/document/revise-sdms-logo/) along with the following disclaimer: "In case your complaint has not been properly redressed by us, you may lodge your complaint with Securities and Exchange Commission of Pakistan (the "SECP"). However, please note that SECP will entertain only those complaints which were at first directly requested to be redressed by the company and the company has failed to redress the same. Further, the complaints that are not relevant to SECP's regulatory domain/competence shall not be entertained by the SECP." | https://www.ublfunds.com.pk/individual/get-in-touch/feedback-complaints/ |
| 3 | Notices of general meetings, dividends / bonus declarations and right issue; | https://www.ublfunds.com.pk/individual/announcements/ |

MEDIA



Media

| S.no | Particular | Link |
|------|--|---|
| 1 | National /International Awards, recognition, if any; | https://www.ublfunds.com.pk/individual/who-we-are/get-to-know-us/ |
| 2 | Any other announcements or clarifications issued by the company; and | https://www.ublfunds.com.pk/individual/announcements/ |

INFORMATION REQUIRED FOR VISITORS



Information Required for visitors

| S.no | Particular | Link |
|------|---|---|
| 1 | SECP's investor education portal "JamaPunji" | www.jamapunji.pk |
| 2 | Information of companies' own complaint handling cell | Complaint Handling Cell Call: 0800-00026 International Dialers: +9221-35622781 Email: customercare@ublfunds.com |

OTHER INFORMATION



Other Information

| S.no | Particular | Link |
|--|---|---|
| Investor Information | | |
| 1 | Annual reports for the last three financial years; | https://www.ublfunds.com.pk/individual/download/financial-statements/ |
| 2 | Quarterly financial statements issued during the current year as well as past three years; | https://www.ublfunds.com.pk/individual/download/financial-statements/ |
| Placement of financial statements | | |
| 3 | Every listed company shall place annual report including financial statements and mandatory reports, at least twenty one days prior to the date of holding of annual general meeting and disclosure of its availability on website shall be given in the notice of annual general meeting disseminated to members of the company. | https://www.ublfunds.com.pk/individual/download/financial-statements/ |
| 4 | Quarterly financial statements and reports shall be placed on website of a listed company within seven days from the date of approval by the board. | https://www.ublfunds.com.pk/individual/download/financial-statements/ |
| 5 | Every listed company shall ensure that financial statements, reports and other information are available in internationally acceptable, user friendly, easily accessible and downloadable electronic format. | https://www.ublfunds.com.pk/individual/download/financial-statements/ |

Smart Savings