# Account Opening Form For Institutional Investor

\_

\_

\_

Customer ID  Carbon ID  (For Office Unit)  For assistance in filling out this form, speak with out Customer Care executive at +9221-35622781 or UAN: 021-111-825-282  for UBE Funds or form all at castomerape 68 Maniprot scene account rule form to: UBE Fund Namegers - Operations Office, dth Floor, 375M Building, Beaumont Road, Civil Lines, Kanach, Pakistan, Caneral Instructions  1 Fill the form with blue pen in block letters and in legble handwrding to avoid errors in application processing. If any alteration is made, a countervan  2 Fill the form synuther of get II filed in your presence. Do not again and or automatibank form.  2 Fill the form synuther of get II filed in your presence. Do not again and or automatibank form.  3 Fill the form synuther of get II filed in your presence. Do not again and or automatibank form.  4 Filed constructions  4 Fill the form synuther of get II filed in your presence. Do not again and or automatibank form.  5 Fill the form synuther of get II filed in your presence. Do not again and or automatibank form.  5 Fill the form synuther of get II filed in your presence. Do not again and or automatibank form.  5 Fill the form synuther of get II filed in your presence and your presence the comments are builted to be hold regreted una complete in any regreted in the form of the automation provide in all transments in customation of the automation provide and automation and and or automatication provide in all transments in the form of the automation on about any regretering all astron presence.  5 For assistance in filling the form or information on about any regretering all astrong regretering.  5 Fill the offer synuther and the form of chapter, denamed adain preventer.  5 For assistance in filling the form or information on about any regretering in the form of the automation on about any regretering in the form of the form of the form of the preventer of the form of the automation on about any regretering and the regretering and the autom	Date	al-ameen funds									
Test a set of mail at customerare disublicity of any density of the set of t	Customer ID	Managed by UBL Fund Managers Limited									
for UBL Funds or email at customercare@ublfunds.com         You can submit from toy our censes UBLs Fund Managers - Operations Office, 4th Floor, STSM Building, Beaumon Road, Cvil Lines, Karachi, Pakistan.         Out can submit from toy uncertaints UBLs fund Managers - Operations Office, 4th Floor, STSM Building, Beaumon Road, Cvil Lines, Karachi, Pakistan.         I fill the form with blue pen in block letters and in legible handwriting to avoid errors in application processing. If any alteration is made, a countersign is mandatory.         I fill the form with blue pen in block letters and in legible handwriting to avoid errors in application processing. If any alteration is made, a countersign is mandatory.         I fill the form with blue pen in block letters and in legible handwriting to avoid errors in application processing. If any alteration is made, a countersign is mandatory.         I fill the form by voir setter of the applicate to carefully read and undersized the guidelines and instruction provided in this form and the term and condition in the offering document (a) of the event achieve the provide of not submitting the form.         I class a dual a achorolydedgment receipt againts your payment for investment.         I class will not be accepted.         I Penses achian a chorolydedgment receipt againts your payment for investment.         I Cash will not be accepted.         I Penses achian achorolydegment receipt againts your payment for investment in furthers.         I Cash will not be accepted.         I Penses achian achorolydegment receipt againts your payment for investinters.         I Cash will n											
You can submit the form to your nearest UBL Fund Managers Investment Center, designated UBL Branches or authorized distruction cutters. You can also couldr the form to: UBL Fund Managers - Operation Office, 4th Flord STMs Building, Beaumont Road, Civil Lines, Karachi, Pakistan.           I Fill the form to: UBL Fund Managers - Operation Office, 4th Flord STMs Building, Beaumont Road, Civil Lines, Karachi, Pakistan.           I Fill the form to: UBL Fund Managers - Operation Office, 4th Flord STM Building, Beaumont Road, Civil Lines, Karachi, Pakistan.           I fill the form to: UBL Fund Managers - Operation Office, 4th Flord STM Building, Beaumont Road, Alt (not applicable)           I fill the form by yoursell or get filled in your presence. Do not sign and / or submit blank form.           I fill the form by yoursell or get filled in your presence. Do not sign and / or submit blank form.           I fill the form by yoursell or get filled in your presence. Do not sign and / or submit blank form.           I fill the instruction provided in this form and the term and condition of the submit and the term and condition of the application interview applicable by required documents are labels to be hold or rejected null computer automates and submit presence on the submit applicable in all respects and carrying all necessary documentary attachments should be submit and UBL Fund Managers Investment Center, Designated UBL banches and field in paynotic or Online account Army and in favor of CDC Trustee UBL fund Managers Investment Center, Designated UBL banches and instructor presence and work in applicable in the form of charge any all banches or mysimmer in funds in an infavor of CDC Trustee UBL Fund Managers and the presence and work in applicable in the form of charge any all band in the form of charge any al											
1) Fill the form with blue pen in block letters and in legble handwriting to avoid errors in application processing. If any alteration is made, a countersign is mandatory. 2) Fill the form by yourself or get it filled in your presence. Do not sign and / or submit blank form. 3) Places tex the appropriate box wherever applicable. In-case any field is not relevant, places mark NA (not applicable). 4) It is the responsibility of the applicable to carefully and and understand provided in the form and the term and condition of the present and understand provided in the form and the term and condition of the application incomplete in all respect and carrying all necessary documentary attachments should be submitted at ULB careful Managers Investment Center, Designated UB, branches, distribution Outles, or UB, Frank Managers Operation Office: 4th Floor STSM building. Beaumont road, Civil ULBs, Kranch, Pakistan. 7) Please othan achrowedgment receipt against your payment for investment. 8) Preperse tails achrowedgment receipt against your payment for investment. 9) Payment shall be made in froor of CDC Trustee - Name of Fund >, (in case of Investment In Managers Desting). 9) Payment shall be made in the orn of adheque, demand draft payorder or Online account transfer. 9) Payment shall be made in the orn of cheque, demand draft payorder or Online account transfer. 9) Payment shall be made in the orn of the deplecid. 9) Payment shall be made in the orn of cheque, demand draft payorder or Online account transfer. 9) Payment shall be made in the orn of the application will be regioned. 9) Payment shall be made in the orn of cheque, demand draft payorder or Unstrease. 9) Payment shall be made in the orn of cheque, demand draft payorder or Unstrease. 9) Payment shall be made in the orn of the application will be regioned. 9) Provent advectory deplecant to pay leharges and taxes in relistion to the unit purchased. <	You can submit the form to your nearest UBL	und Managers Investment Center, designated UBL Branches or authorized distributor outlets. You car									
is mandatory. 2 Fill the fam by yourself or get it filled in your presence. Do not sign and / or submit blark form. 3 Please tack the appropriate box wherever applicable, in-case any field is not relevant, please mark NA (not applicable) 4 its the responsibility of the applicable in to carrying and and understand the guidelines and instruction provided in this form and the term and condition in the offering document (s) of the relevant scheme prior to submitting the form. 5 Application complete in any respect and / or not scheme the guidelines and instruction provided in this form and the term and condition in the offering document (s) of the relevant scheme prior to submitting the form. 5 Application complete in any respect and / or not schemetary attachments are liable to be hold or rejected unit complete requirements 7 (Center, Despect and carrying all necessary documentary attachments should be submitted a LUEL frant Managers Investment 2 (Center, Despect and carrying all necessary documentary attachments should be submitted a LUEL frant Managers Investment 2 (Please obtain and work dynamet receipt against your payment for investment. 8) For assistance in filling this form or information on about our products and services call our Corporate & Institutional Sales department. 8) Payment shalb be made in favor of COC Trustee Name of Fund S, (Incase of investment in funds) and in favor of CDC Trustee UBL Funds' or XI-Ameen Funds' instrument is noture with a public be crossed account payee only. 9 Payment shalb be made in favor of COC Trustee Name of Fund S, (Incase of investment of other public S) 9 Payment shalb e made in favor of COC Trustee VBL Funds' or XI-Ameen Funds' instrument should be crossed account payee only. 9 Payment shalb e made in favor of COC Trustee VBL Funds' or XI-Ameen Funds' instrument should be made in the name of the partnership or trust. 9 Payment shale be made in favor of COC Trustee VBL Funds' or XI-Ameen Fund (Desperiable) or Payment and and of the partnership or trust. 9 Payment sha		General Instructions									
Guidelines         1) Cash will not be accepted.         2) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer.         3) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer.         3) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer.         3) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer.         9) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer.         9) If payment instruments returned, the application will be rejected.         5) If should be the responsibility of applicant to pay all charges and taxes in relation to the unit purchased.         6) Front-end load (charges) will be applicable on investment as per Constituted Documents of the Fund(s)         7) It unit Holder's Details         Entity name/         Messrs:         NTN         Number:         Registration         Number:         Leasing company       NBFC         Modaraba       Stock broker         Public company       Stole Proprietor         Registration       Club / Society       Association of Person         Financial Institution       Commercial Bank       Micro-Finance       Investment _ Onstrance Company         Puride remement Fund	<ul> <li>is mandatory.</li> <li>2) Fill the form by yourself or get it filled in you</li> <li>3) Please tick the appropriate box wherever a</li> <li>4) It is the responsibility of the applicant to ca in the offering document (s) of the relevant</li> <li>5) Application incomplete in any respect and are fulfilled.</li> <li>6) Application complete in all respect and can Center, Designated UBL branches, distrib Lines, Karachi, Pakistan .</li> <li>7) Please obtain acknowledgment receipt age</li> </ul>	r presence. Do not sign and / or submit blank form. oplicable, in-case any field is not relevant, please mark N/A (not applicable) efully read and understand the guidelines and instruction provided in this form and the term and conditi scheme prior to submitting the form. or not accompanied by required documents are liable to be hold or rejected until complete requirement ying all necessary documentary attachments should be submitted at UBL Fund Managers Investment tion Outlets, or UBL Funds Managers Operation Office: 4th Floor, STSM building, Beaumont road, Civi- ainst your payment for investment.									
1) Cash will not be accepted. 2) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer. 3) Payment shall be made in two of CDC Trustee < Name of Fund >, (in case of investment infonds) and in favor of CDC Trustee UBL Funds' or 'Al-Ameen Funds' instrument is reliated account payee only. 4) If payment instrument is returned, the application will be rejected. 5) It should be the responsibility of applicant to pay all charges and taxes in relation to the unit purchased. 6) Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s) 7) In case of partnership firm or trust, application should be made in the name of the partnership or trust. 7) Untit Holder's Details Entity name/ Messre: 7 7 8 8 8 8 8 8 8 9 8 9 9 9 9 9 9 9 9 9	8) For assistance in filling this form or inform	tion on about our products and services call our Corporate & Institutional Sales department.									
1) Payment shall be made in the form of cheque, demand draft payorder of Online account transfer. 3) Payment shall be made in favor of CDC Trustee < Name Ser Orwsteinen in funds and in favor of 'CDC Trustee UBL. Funds' or 'Al-Ameen Funds' instrument is rotage of investment in funds and in favor of 'CDC Trustee UBL. Funds' or 'Al-Ameen Funds' instrument is reported in the name of the payned on the compared on investment is per Constitutive Documents of the Funds() 1) It should be the responsibility of applicant to pay all charges and taxes in relation to the unit purchased. 5) It should be the responsibility of applicant to pay all charges and taxes in relation to the unit purchased. 6) Fronk-end load (charges) will be applicable on investment is sper Constitutive Documents of the Funds() 1) It all holder's Details  Entity name/ Messrs:  1) Unit Holder's Details  Entity name/ Messrs:  1) Leasing company NBFC Modaraba Stock broker Insurance Public company Sole Proprietor Registered(Please proteing registration of Person  Financial Institution Commercial Bank Micro-Finance Investment Stock Broker Insurance Company Bank Micro-Finance Investment Stock Broker Insurance Company Bank Micro-Finance Investment Stock Broker Insurance Company Bank Organized Unrecognized  Partnership Registered Unrecognized  Partnership Registered Unrecognized		Guidelines									
Entity name/ Messrs:       Image: Sesses:       Image: Sesses: <td< td=""><td colspan="11"><ol> <li>2) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer.</li> <li>3) Payment shall be made In favor of 'CDC Trustee &lt; Name of Fund &gt;, (In case of investment in funds) and in favor of 'CDC Trustee UBL Funds' or 'Al-Ameen Funds' instrument should be crossed account payee only.</li> <li>4) If payment instrument is returned, the application will be rejected.</li> <li>5) It should be the responsibility of applicant to pay all charges and taxes in relation to the unit purchased.</li> <li>6) Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s)</li> </ol></td></td<>	<ol> <li>2) Payment shall be made in the form of cheque, demand draft payorder or Online account transfer.</li> <li>3) Payment shall be made In favor of 'CDC Trustee &lt; Name of Fund &gt;, (In case of investment in funds) and in favor of 'CDC Trustee UBL Funds' or 'Al-Ameen Funds' instrument should be crossed account payee only.</li> <li>4) If payment instrument is returned, the application will be rejected.</li> <li>5) It should be the responsibility of applicant to pay all charges and taxes in relation to the unit purchased.</li> <li>6) Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s)</li> </ol>										
Messrs:       Registration         NTN Number:       Registration         Registration Number:       Leasing company         Public company:       Leasing company         Listed       Unlisted         Private Company       Sole Proprietor         Registration of Person       Registration certificate)         Private Company       Sole Proprietor         Registered (Please provide registration certificate)       Unregistered         Executors / Administrators       Club / Society       Association of Person         Financial Institution       Commercial Bank       Micro-Finance       Investment Company       Stock Broker       Insurance Company         Retirement Fund       Pension Fund       Provident Fund       Gratuity Fund       Workers Profit Participation Fund       Super Annunciation Fund Fund         Partnership       Registered       Unregistered         Other (please specify)       Insurance       Insurance         Registered       Insurance       Insurance         Registered       Inregistered       Inregistered	1) Unit Holder's Details										
Public company:       Listed       Unlisted         Private Company       Sole Propnetor       Registered (Please provide registration certificate)       Unregistered         Executors / Administrators       Club / Society       Association of Person         Financial Institution       Commercial Bank       Micro-Finance       Investment       Stock Broker       Insurance Company         Retirement Fund       Pension Fund       Provident       Gratuity       Workers Profit       Super Annunciation Fund         Recognized       Unregistered         NiGO       NPO       Trust         Other (please specify)       Insurance       Insurance       Insurance         Registered       Insettered       Insurance       Insurance       Insurance	Messrs:										
Executors / Administrators       Club / Society       Association of Person         Financial Institution       Commercial Bank       Micro-Finance Bank       Investment Company       Stock Broker       Insurance Company         Retirement Fund       Pension Fund       Provident Fund       Gratuity Fund       Workers Profit Participation Fund       Super Annunciation Fund         Recognized       Unrecognized       Unregistered       Unregistered       Unregistered         NGO       NPO       Trust       Trust       Trust											
Executors / Administrators       Club / Society       Association of Person         Financial Institution       Commercial Bank       Micro-Finance Bank       Investment Company       Stock Broker       Insurance Company         Retirement Fund       Pension Fund       Provident Fund       Gratuity Fund       Workers Profit Participation Fund       Super Annunciation Fund         Recognized       Unrecognized       Unregistered       Unregistered       Unregistered         NGO       NPO       Trust       Trust       Trust											
Bank       Company         Retirement Fund       Pension Fund       Provident Fund       Gratuity Fund       Workers Profit Participation Fund       Super Annunciation Fund         Partnership       Registered       Unregistered         Other (please specify)											
Fund     Fund     Participation       Recognized     Unrecognized         Partnership     Registered     Unregistered       NGO     NPO     Trust         Other (please specify)     Image: Comparison of the specify of the specific of the spe	Financial Institution O Commercial Bank										
Recognized Unrecognized     Partnership Registered     NGO NPO     Trust     Other (please specify)     Image: Stered     Image: Stered<	Retirement Fund OPension Fund										
NGO         NPO         Trust           Other (please specify)	Recognized	- Fund									
Other (please specify)	Partnership ORegistered	◯ Unregistered									
	NGO ○ NPO	◯ Trust									
	Other (please specify)										

Version 5 effective from 20th Sept, 2021

Correspondence Address:				][ ][																	][ ][											][		][ ][			][ ][				
Office phone				-						-																															
Fax number				-						-																															
Company website																																									
Primary contact person name				][ ][																	][											][		][ ][			][ ][				
Designation																																							2		
Phone				-						-																									4				6		/
Mobile				-							-																												•		
Email				][																																					
Alternate contact person name				][ ][					] [					][ ][		] [					][ ][													][			][ ][				
Designation				][																																					
Phone				]-					,	-												][																			
Mobile				-							] -																														
Email				][																																					
Correspondence	to be	senc	d to		С	)as	s al	oov	е			lf	dif	fer	ent	ple	eas	e s	peo	cify																	][				
				][																												][									
2) Bank Ac	cou	nt C	Deta	ails	s																																				
Bank Account [	Details	s (atle	east	on	e) o	f Pr	inc	ipa	Ur	nit H	lol	der	Ма	and	lato	ory)	1																								
Bank Account nu	Imber	/ IBA	۹N																																						
Bank Account Tit	le			ſ					Ŧ			7	·													1								][							
				-				Ê.	$\mathcal{H}$					 ][		] [					][					) [ ] [			7			ייב וך		יר 12			יר 12				
Bank Name			F						][ ][					_)[ ][		][ ][		] [			] [ ]		E	Bran	ich (	Code	ə		][ ][					」   [			][ ][			」   [	
Bank Address					7		][							][							][								][					][	٦		][	۲			
3) Bank Acco	ount	Det	tails	s																																					
Tick one as appr	opria	ite:		1	0:	Sin	gle	Sig	na	tory	/					(	С			nolo		s				C	) /	All a	uth	noriz	zed	sig	gnat	orie	es						
Other (please spe	cify)										][							(an	y t															][							
4) Dividend payout instruction																																									
Tick one as appr	ne as appropriate: O Reinvest in the Fund(s) / Plan(s)									C	) [	Disti	ribu	ute i	in tł	ne f	form	۱ of	ca	sh																					

 $\label{eq:Note: If no option is selected, any dividends declared \ \ will be reinvested in the fund(s) \ / \ \ \ Plans(s) \ .$ 

## 5) Units Mode of Holding

### I/We would like to confirm Units Mode of hold as per option selected below tick anyone

Account Statement	Unit will be issued in registered, (non-certificate) form and will be confirmed by means of an Account statement (Physical or E-statement) by the registrar.
O Physical certificates	Unit will be issued in certificate form on payment of Rs/.25 per certificate payment for issuing certificate(s) may be combined with the payment of investment in the particular fund(s). Unless specified a minimum number of certificate will be issued certificate(s) will only be issued for whole number of unit(s) not including any fractional unit(s), if any

**Note:** If no option is selected, 'Account statement' unit mode of holding will be considered. For investment plans Account statement will be the default units mode of holdings. Whichever option is selected There are procedures laid down in each case of redemption / encasement, conversion and transfer of funds / plans.

#### 6) Fund Category & Risk

Category	Fund Name / CIS	Investment Amount	Front End Load (One time cost)***
منی مارکیٹ Risk Profile: Very Low	UBL Liquidity Plus Fund لیکویڈیٹی پٹس فنڈ UBL	Rs:	فصد %
رسک پردفاکل:بہت کم Risk of Principal Erosion: Very low risk اصل زرمیں کوٹی کا خطرہ : بہت کم	UBL Cash Fund* کیش فنڈ UBL*	Rs:	فصد %
منی مارکیٹ Risk Profile: Low رسک پروفائل: کم Risk of Principal Erosion: low risk اصل زرمیں کوتی کا خطرہ : کم	UBL Money Market Fund منی مارکیٹ فنڑ UBL	Rs:	فيصد %
Shariah Compliant Money Market شریعت کے مطابق منی مارکیٹ Risk Profile: Low رسک پروفاکل: کم Risk of Principal Erosion: low risk اصل زرمیں کٹوقی کا خطرو: کم	Al-Ameen Islamic Cash Fund الایین اسلا یک کیش فنڈ Al-Ameen Islamic Cash Plan-I** الایین اسلا ک کیش پلان -۱**	Rs: Rs: 	قِصِد % قِصِد %
مى كەركى كۈن ئە تىرۇب مەكى كە تىرۇب مەكى كىپيىل پرونىيىدۇ (ئان ايكوتى) Risk Profile Low	UBL Special Savings Fund آپیش بیونگ بندژ UBL ایکیش بیونگ بندژ پلان کانام	Rs:	فيصد %
رسک پروفائل: کم Risk of Principal Erosion: Low risk اصل زرمیں کٹوقی کا خطرہ : بہت کم	UBL Special Savings Fund - II ایپیش سیونگس نذر ۱۱- Plan Name*	Rs: برچ	فيصر %
Shariah Compliant Capital Protected (Non Equity) شریعت کے مطابق کمپیٹل پروٹیکٹ (نان ایکوٹن) Risk Profile: Low رسک پروفائل: کم Risk of Principal Erosion: Low risk	Al-Ameen Islamic Special Savings Fund الامین اسلامک اسپیش سیونگس فنڈ Plan Name*	Rs:	فيمبر %
انکم Risk Profile: Medium رسک پروفاکل : درمیانه	UBL Income Opportunity Fund انگماوپر ٹیونی فنڈ UBL میں میں اللہ میں میں اللہ	Rs:	فیصد %
Risk of Principal Erosion: Medium risk اصل زرمین کثوتی کا خطرہ: درمیانہ	UBL Government Securities Fund گورنمنٹ سیکیو رٹیز فنڈ	Rs:	فيصد %
ا يکوئی Risk Profile: High رسک پروفائل:زیادہ	UBL Stock Advantage Fund الشاك الثيروانتي فنز	Rs:	فيصد %
Risk of Principal Erosion: High risk اصل زرمیں کٹوتی کا خطرہ: زیادہ	UBL Financial Sector Fund فانش سیکرفنڈ	Rs:	فیصد %

Version 5 effective from 20th Sept, 2021

Category	Fund Name / CIS	Investment Amount	Front End Load (One time cost***)
Aggressive Income ایگریسواتم Risk Profile: Medium رسک پروفاکل:درمیانه Risk of Principal Erosion: Medium risk اصل زییس کوتی کا خطر : میانه	UBL Growth and Income Fund گروتھاورانکم فنڈ UBL	Rs:	فمر %
Fund of Funds it fie bit isk Profile Medium رسک پروناکن درمیانه Risk of Principal Erosion: Medium risk اصل زرمین کوتی کا خطرہ: درمیانه	UBL Financial Planning Fund نائٹیشل پلا نیٹک فنڈ Plan Name* پلان کانام	Rs:	فيصد %
Shariah Compliant Fund of Funds شریعت کے مطابق فنڈ آف فنڈ ز Risk Profile Medium رسک پروفائل:درمیانہ Risk of Principal Erosion: Medium risk اصل زرمیں کوفتی کا خطرہ: درمیانہ	Al-Ameen Islamic Financial Planning Fund-III الامین اسلامک نامیکی کلی میڈیک فنڈ –III Plan Name*	Rs:	فيصد %
Asset Allocation ایکویشن ایسٹ Risk Profile Medium رسک پروفائل:درمیانہ Risk of Principal Erosion: Medium risk اصل زرمیں کٹوتی کا خطرہ: درمیانہ	UBL Asset Allocation Fund ایسٹ ایکوکیشن فنڈ	Rs:	فيصد %
Shariah Compliant Income شریعت کے مطابق آتم Risk Profile: Medium رسک پروفائل: درمیانہ Risk of Principal Erosion: Medium risk اصل زرمیں کٹوتی کا خطرہ: درمیانہ	Al-Ameen Islamic Sovereign Fund الاينن اسلامك سوورن فندُ	Rs:	فيصد %
Shariah Compliant Aggressive Income شریعت کے مطابق ایگر ییوائم Risk Profile: Medium درسک پروفائل: درمیانہ Risk of Principal Erosion: Medium risk اصل زریں کوثی کا خطرہ: درمیانہ	Al-Ameen Islamic Aggressive Income Fund الامين اسلامك المكريوانكم فندُ Al-Ameen Islamic Aggressive Income Plan_ الامين اسلامك المكريوانكم پلان	Rs:	فيصر %
Shariah Compliant Asset Allocation شريعت ڪ مطابق ايت ايلوکيشن Risk Profile: Medium Risk of Principal Erosion: Medium risk اصل زريس کوني کا خطره: درميانه	Al-Ameen Islamic Asset Allocation Fund الامین اسلامک ایسٹ ایکولیشن فنڈ	Rs:	فيصر %
ا يکوئن Risk Profile: High رسک پروفائل: زياده Risk of Principal Erosion: High risk اصل زرمين کوتي کا خطره: زياده	UBL Stock Advantage Fund UBL اسٹاک ایڈروانیٹی فنڈ UBL Financial Sector Fund نانشل سیکر فنڈ	Rs: روچ کې Rs:	في <i>ع</i> د % في <i>ع</i> د %
Shariah Complaint Equity بشریعت کے مطابق ایکوئی Risk Profile: HIgh Risk of Principal Erosion: High Risk اصل زرمیں کوفق کا خطرہ: زیادہ	Al-Ameen Shariah Stock Fund الامین شریعه اسٹاک فنژ Al-Ameen Islamic Energy Fund الامین اسلامک انریق فنڈ	Rs: Rs: روچ	فيصد % فيصد %
	<u> </u>		

\*Backend/contingent load may apply for early withdrawal before maturity/time period specified in Offering Document. \*\*Daily dividend distribution. \*\*\*Excluding Taxes.

Principal line of business
Parent company name (if applicable)
Ultimate beneficiary
Country of incorporation (please specify)
Note: (This information should be same as mentioned in the entity registration document).
Expected annual revenue:
Source(s) of Investments
(select atleast one / more than one if applicable)
O Investment Income O Business Income O Donation O Employee Contribution O Other
Geographies Involved
Domestic         Ex - FATA         International (mention countries)
Type of counterparties dealing with
Individual NPO/Trust Business
Your expected No. of monthly investment transaction: 0-5 0-6-10 011-15 0 more than 15
Your expected No. of monthly redemption transaction: 0-5 06-10 011-15 0 more than 15
Purpose of investment will: O Growth Cash management Others

## 8) Declaration & Signature(s)

We have carefully read understood and agree to abide by all the rules, regulations, terms and conditions given in this form. The details provided by me/us are true, correct and complete to the best of our knowledge and belief, and the documents submitted along with this application are genuine. We hereby undertake to promptly inform the company of any changes to the information provided in this form. We certify that we have the power and authority to establish this account and the features and services requested and that the authorizations hereon shall continue until any written notice of a modification or a termination signed by all appropriate parties. We hereby accept that the company may at any time in the future require verification before processing any requested transaction in this account, the verification procedures may include recording instructions, requiring certain identifying information before acting upon instructions and sending written confirmations. With respect to the value added services offered by the company, we waive and discharge the company fully from any delay due to breakdown or malfunction of such services beyond reasonable control of the company, and understand that the company may at its absolute discretion, discontinue any of the services completely or partially without any notice to me/us. Also, we have no objection if my account related information is shared with third parties in order to fulfill regulatory/legal/bilateral arrangements/agreements/agreements.

We have carefully read, understood and accept the terms and conditions given in the relevant Trust Deed(s) and Offering Documents(s) of the fund(s), Plan(s) along with details of Sales Load to be deducted (if any) including taxes. We hereby also acknowledge that we have reviewed and understood the Total Expense Ratio, Management Fee, Selling & Marketing expenses, Front-end, Back-end and Contingent Load of the Scheme as disclosed on the UBL Fund Managers website link https://www.ublfunds.com.pk/individual/resources-tools/fund-performance-tools/latest-fund-prices/. We understood that the company may amend or after the terms and conditions referred herein and hereafter from time to time. We have understood to access the company website to keep myself ourselves updated before every operation of this account. We have understood that investments in mutual funds are subject to market risks and fund prices may go up or down based on market conditions. We have understood that past performance is not necessarily an indicator of future results and there is no fixed or guaranteed return.

Authorized signature

Authorized signature

Authorized signature

Authorized signature



Note: official company stamp required

#### **Document Checklist**

Beforesubmitting this form, make sure the following documents are attached. if one or more of the documents are missing, your application may be declined or processed with a dealy

Sole proprietorship	0000	Copy of registration certificate for registered concerns Copy of certificate or proof of membership of trade bodies etc, wherever applicable. Declaration of sole proprietorship on business letter head (as per provided format). Account opening requisition on business letter head.
Partnership	0000	Account opening requisition on business letter nead. Attested copy of 'Partnership Deed'. Attested copy of Registration Certificate with Registrar of Firms. In case the partnership is unregistered, this fact shall be clearly mentioned on the Account Opening Form. Authority letter from all partners, in original, authorizing the person(s) to operate firm's account.
Limited Companie / Corporations	0 00000	Resolution of Board of Directors for opening of account specifying the person(s) authorized to open and operate the account; Memorandum and Articles of Association;. Certificate of Incorporation; Certificate of Commencement of Business, wherever applicable; List of Directors on 'Form-A/Form-B' issued under Companies Act, 2017, as applicable; anc Form-29, wherever applicable.
Branch Office or Liaison Office of Foreign Companies	0000	A copy of permission letter from relevant authority i-e Board of Investment. Photocopies of valid passports of all the signatories of account. List of directors on company letter head or prescribed format under relevant laws/regulations. A Letter from Principal Office of the entity authorizing the person(s) to open and operate the account.
NGOs/NPOs /Charities	00 0	Certified copies of Registration documents/certificate By-laws/Rules & Regulations Annual accounts/ financial statements or disclosures in any form which may help to ascertain the detail of its , sources and usage of funds. Resolution of the Governing Body/Board of Trustees/Executive Committee, if it is ultimate governing body, for opening of account authorizing the person(s) to operate the account.(iii) Photocopy of identity document i the authorized person(s) and of the members of Governing Body/Board of Trustees /Executive Committee and Donors , if it is ultimate governing body.
Agents	000	Certified copy of 'Power of Attorney' or 'Agency Agreement'. Photocopy of identity document of the agent and principal. The relevant documents/papers from if agent or the principal is not a natural person.
Executors and Administrators	00	Photocopy of identity document of the Executor/Administrator. A certified copy of Letter of Administration or Probate.
Govt. Institutions / Semi Govt.	00	Registration documents/certificate . By-Laws/Rules & Regulations.

Note: Tax and zakat Exemption certificates/affidavit are mandatory if exempted, CRS-E and FATCA NFE ,Photocopy of identity documents(i-e valid CNIC/passport) along with list of the all the Directors/trustees/signatories/Executors/Administrators/Authorizers are mandatory for all , kindly note Attested means originally attested from Notary Public)

صرف آفس استعال کے لیے For Office Use Only 1								
د ستری بیوز / Distributor	Name of Agent / الجننكاتا	Sub-Agent /حابجت المجامعة Sub-Agent /						
ریزنس،ایجندکوز / Reference/Agent Code	CRM Lead							
		الكرك. المعام / المحال الم						
Deposite/CM⊤ Slip #	Acknowledgement Receipt #	Receipt date						
Remarks								