Account Opening Form For Institutional Investors

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Date		
Customer ID	(For Office Use) TS2#	
for UBL Funds or email at customerca You can submit the form to your nearest UBL F	Deak with our Customer Care executive at +9221-35622781 or UAN: 021-111-8 e@ublfunds.com nd Managers Investment Center, designated UBL Branches or authorized distributor outlets. Y perations Office, 4th Floor, STSM Building, Beaumont Road, Civil Lines. Karachi, Pakistan.	
	General Instructions	
 is mandatory. 2) Fill the form by yourself or get it filled in you 3) Please tick the appropriate box wherever at 4) It is the responsibility of the applicant to cars in the offering document (s) of the relevant 5) Application incomplete in any respect and / are fulfilled. 6) Application complete in all respect and carr Center, Designated UBL branches, distribut Lines, Karachi, Pakistan . 7) Please obtain acknowledgment receipt again 	r not accompanied by required documents are liable to be hold or rejected until complete requing all necessary documentary attachments should be submitted at UBL Fund Managers Investion Outlets, or UBL Funds Managers Operation Office: 4th Floor, STSM building, Beaumont ro	condition irements stment
	Guidelines	
 3) Payment shall be made In favor of 'CDC T Funds' or 'Al-Ameen Funds' instrument shot 4) If payment instrument is returned, the appl 5) It should be the responsibility of applicant 6) Front-end load (charges) will be applicable 		
1) Unit Holder's Details		
Entity name/ Image: Constraint of the second se	Image: Sector of the sector	
Regulated person: Public company:	Leasing company NBFC Modaraba Stock broker Insurand	ce
Orivate Company Sole Proprietor Executors / Administrators	Registered _(Please provide registration certificate) Unregistered Club / Society Association of Person	
Financial Institution O Commercial Bank	Micro-Finance Investment Stock Broker Insurance Company Bank Company	
Retirement Fund O Pension Fund	Provident Gratuity Fund Fund Fund Fund Fund Fund Fund Fund	ו Fund
Partnership ORegistered	Unregistered	
NGO ○NPO) Trust	
Other (please specify)		
Registered Address:		

Version 5 effective from 20th Sept, 2021

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Office phone][-					-			Γ][]			L														
Fax number					-					-																															
Company website																																									
Primary contact person name] [][][
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2) Bank Ac	cou	ınt l	Def	tai	ls																																				
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Bank Name						」L][」 [ו _ [][][Bra	ancl	h C	ode	e][][][][ו <u> </u> ר	 」 [
Bank Address][][][][] [
3) Account (Оре	rati	ng	in	str	uc	tio	ons	;			L								·																		L			
Tick one as app	ropri	ate:			С) Siı	ngle	e Si	igna	ator	у						С			hol		rs					All authorized signatories														
Other (please spe	ecify)][][(a		two	<i>'</i>)][][][
4) Dividend p	bayo	outi	ins	tru	ıct	ior	۱						_																												
Tick one as appi	ropria	ate:			0	Re	einv	/es	t in	the	Fu	ind((s) /	/ P	lan	(s)											C)[Dist	ribu	ıte	in tł	he 1	forn	ו of	f ca	sh				

Note: If no option is selected, any dividends declared will be reinvested in the fund(s) / Plans(s).

5) Units Mode of Holding

I/We would like to confirm Units Mode of hold as per option selected below tick anyone

Account Statement	Unit will be issued in registered, (non-certificate) form and will be confirmed by means of an Account statement (Physical or E-statement) by the registrar.
O Physical certificates	Unit will be issued in certificate form on payment of Rs/.25 per certificate payment for issuing certificate(s) may be combined with the payment of investment in the particular fund(s). Unless specified a minimum number of certificate will be issued certificate(s) will only be issued for whole number of unit(s) not including any fractional unit(s), if any

Note: If no option is selected, 'Account statement' unit mode of holding will be considered. For investment plans Account statement will be the default units mode of holdings. Whichever option is selected There are procedures laid down in each case of redemption / encasement, conversion and transfer of funds / plans.

6) Investment Details

Name of Scheme (s) *	Туре	of Units		Amount (Rs.)	
1					
2					
3					
4					
Total Investment Amount (Rs)	ľ				
In Words					
Instrument Number Branch Name	 Pay Order Bank Short Na Pay Order Pay Order Bank Short Na Pay Order 	ame(Drat	Demand Draft	Online Transfer Branch Code Online Transfer Online Transfer Branch Code Online Transfer Branch Code	O RTGS
3- Mode of Payment O Cheque	Pay Order Bank Short Na	-	Demand Draft /n On)	Online Transfer Branch Code	O RTGS
Branch Name					
	Pay Order Bank Short Na	•	Demand Draft vn On)	O Online Transfer Branch Code	O RTGS
Branch Name					

Category	Fund Name / CIS	Investment Amount	Front End Load (One time cost)***
منی مارکیٹ منی مارکیٹ	UBL Liquidity Plus Fund لیکویڈیٹی چلس فنڈ	Rs:	فيصد %
Risk Profile: Very Low رسک پروفاکن:بہت کم Risk of Principal Erosion: Very low risk	UBL Cash Fund*	Rs:	فيصد %
اصل زرمیں کٹوتی کا خطرہ : بہت کم	*UBL کیش فنڈ	رد چې	
من ی مارکیٹ Risk Profile: Low			
رسک پروفائل: کم	UBL Money Market Fund منی مارکیٹ فنڈ UBL	Rs:	فيصد %
Risk of Principal Erosion: low risk اصل زرمیں کٹوتی کا خطرہ: کم			
Shariah Compliant Money Market			
شریعت کے مطابق منی مارکیٹ 	Al-Ameen Islamic Cash Fund الایین اسلامک کیش فنڈ	Rs: روچ پ	فيصد %
رسک پروفاکن: کم Risk of Principal Erosion: low risk	Al-Ameen Islamic Cash Plan-I** الامین اسلا یک کیش ملان -I**	Rs:	فيصد %
اصل زرمیں کوتی کا خطرہ: کم			<u> </u>
Capital Protected (Non Equity) کىپیل بروئيكۇ(نانا يكوئ)	UBL Special Savings Fund سیپٹس بیونگ رہنڈ UBL Plan Name*	Rs:	فيصد %
بت رسک پروفائل: کم	پلان کا نام	رو چ	
رجعت پرون ل. م Risk of Principal Erosion: Low risk	UBL Special Savings Fund - II بیش سیونگ بند -II	Rs:	فيصد %
اصل زرمیں کٹوتی کا خطرہ : بہت کم	Plan Name*	2,37	
Shariah Compliant Capital Protected (Non Equity)	Al-Ameen Islamic Special Savings Fund		
شریعت کے مطابق کمیپیٹل پروٹیکٹڈ (نان! یکوئٹ)	الايين اسلامك البيش سيوتكس فندر	Rs:	فيصر %
ر مک پروفائل: کم رسک پروفائل: کم	Plan Name* مالان کانام	÷,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
Risk of Principal Erosion: Low risk ncome			
Risk Profile: Medium	UBL Income Opportunity Fund	Rs:	فيصد %
رسک پروفاکل : درمیانه Risk of Principal Erosion: Medium risk	UBL اَمَمَادِ بِيْوَىٰ فَنْرُ UBL Government Securities Fund	·	فصرر %
اصل زرمیں کٹوتی کا خطرہ: درمیانہ	UBL گورنمنٹ سیکیو ر ٹیز فنڈ	Rs:	
Equity Risk Profile: High	UBL Stock Advantage Fund	Rs:	فيصد %
رسک پروفاک : زیادہ Risk of Principal Erosion: High risk	UBL اسٹاک ایڈوانٹی فنڈ UBL Financial Sector Fund	·	فيصد %
اصل زرمیں کوتی کا خطرہ: زیادہ اصل زرمیں کوتی کا خطرہ: زیادہ	UBL فنافش سيكر فندر	Rs:	
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يكريبوا تم يكريبوا تم ملك پروفاتل درميانه Risk of Principal Erosion: Medium risk من زرمين كونى كا خطره : درميانه Fund of Funds	, UBL Growth and Income Fund گروتھاورانکم فنڈ UBL		
يدأ ت شرو	9	Rs: بروینی ک	فيصر %
Risk Profile:Medium مک پروفائل:درمیانه Risk of Principal Erosion: Medium risk ل زرمین کٹوتی کا خطرہ: درمیانه	UBL نائنیش پلا نیتگ فند Plan Name*	Rs:	فيصد %
Shariah Compliant Fund of Funds بیت کے مطابق فنڈ آف فنڈ ز Risk Profile Medium بیک پروفائل:درمیانہ Risk of Principal Erosion: Medium risk مل زرمیں کوتی کا خطرہ: درمیانہ	Al-Ameen Islamic Financial Planning Fund-III الایمین اسلامک فانتیشن پلا نینتگ فندُ - III Plan Name*	Rs:	<u>بمر</u> %
یے ایلوکیشن Risk Profile Medium کلک پروفائل:درمیانہ Risk of Principal Erosion: Medium risk ل زرمیں کٹوتی کا خطرہ: درمیانہ	UBL Asset Allocation Fund ایٹ ایکوکیشن فنڈ	Rs:	فيصد %
یعت کے مطابق آنکم بیعت کے مطابق آنکم یک پروفائل: درمیانہ Risk of Principal Erosion: Medium risk مل زرمیں کوفق کا خطرہ: درمیانہ	Al-Ameen Islamic Sovereign Fund الامپین اسلامک سوورن فنڈ	Rs:	فصد %
Shariah Compliant Aggressive Income یعت کے مطابق ایگر یہوائم یک پروفائل: درمیانہ Risk of Principal Erosion: Medium risk مل زرمیں کوتی کا خطرہ: درمیانہ	_ Al-Ameen Islamic Aggressive Income Plan	Rs:	فصر %
Shariah Compliant Asset Allocation ریعت کے مطابق ایت ایکولیشن Risk Profile: Medium ک پروفاکل:درمیانه Risk of Principal Erosion: Medium risk ل زرمیں کوفق کا خطرہ: درمیانه	ر الامين اسلامك ايسٹ الموليشن فنڈ	Rs:	فصر %
وَيَّ وَيَّ Risk Profile: High کے پروفائل: زیادہ Risk of Principal Erosjon: High risk	UBL Stock Advantage Fund UBL الطاك اليُروانَّيُّ فندُ UBL Financial Sector Fund	Rs: Rs:	نِصد %
ں زرمیں کٹوٹی کا خطرہ: زیادہ بیعت کے مطابق ایکوئن کی پروفائل: زیادہ Risk Profile: HIgh Risk of Principal Erosion: High Risk مل زرمیں کٹوٹی کا خطرہ: زیادہ	ش Al-Ameen Shariah Stock Fund الامين شريعه اسٹاک فنڈ Al-Ameen Islamic Energy Fund الامين اسلامک انر جي فنڈ	روپی کې Rs:	نصد % نیصد %

*Backend/contingent load may apply for early withdrawal before maturity/time period specified in Offering Document. **Daily dividend distribution. ***Excluding Taxes.

8) Know Your Customer
Principal line of business
Parent company name (if applicable)
Ultimate beneficiary
Country of incorporation (please specify)
Note: (This information should be same as mentioned in the entity registration document).
Expected annual revenue:
Source(s) of Investments
(select atleast one / more than one if applicable)
O Investment Income O Business Income O Donation O Employee Contribution O Other
Geographies Involved
Domestic Ex - FATA International (mention countries)
Type of counterparties dealing with ^{i.} ^{ii.}
Individual NPO/Trust Business
Your expected No. of monthly investment transaction: 0-5 0-6-10 011-15 0 more than 15
Your expected No. of monthly redemption transaction: 0-5 0-6-10 011-15 0 more than 15
Purpose of investment will: O Growth O Cash management O Others

9) Declaration & Signature(s)

We have carefully read understood and agree to abide by all the rules, regulations, terms and conditions given in this form. The details provided by me/us are true, correct and complete to the best of our knowledge and belief, and the documents submitted along with this application are genuine. We hereby undertake to promptly inform the company of any changes to the information provided in this form. We certify that we have the power and authority to establish this account and the features and services requested and that the authorizations hereon shall continue until any written notice of a modification or a termination signed by all appropriate parties. We hereby accept that the company may at any time in the future require verification before processing any requested transaction in this account, the verification procedures may include recording instructions, requiring certain identifying information before acting upon instructions and sending written confirmations. With respect to the value added services offered by the company, we waive and discharge the company fully from any delay due to breakdown or malfunction of such services beyond reasonable control of the company, and understand that the company may at its absolute discretion, discontinue any of the services completely or partially without any notice to me/us. Also, we have no objection if my account related information is shared with third parties in order to fulfill regulatory/legal/bilateral arrangements/agreements/requirements.

We have carefully read, understood and accept the terms and conditions given in the relevant Trust Deed(s) and Offering Documents(s) of the fund(s), Plan(s) along with details of Sales Load to be deducted (if any) including taxes. We hereby also acknowledge that we have reviewed and understood the Total Expense Ratio, Management Fee, Selling & Marketing expenses, Front-end, Back-end and Contingent Load of the Scheme as disclosed on the UBL Fund Managers website link https://www.ublfunds.com.pk/individual/resources-tools/fund-performance-tools/latest-fund-prices/. We understood that the company may amend or after the terms and conditions referred herein and hereafter from time to time. We have understood to access the company website to keep myself ourselves updated before every operation of this account. We have understood that investments in mutual funds are subject to market risks and fund prices may go up or down based on market conditions. We have understood that past performance is not necessarily an indicator of future results and there is no fixed or guaranteed return.

Authorized signature

Authorized signature

Authorized signature

Note: officia	l company	stamp	required
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Authorized signature



10) Document Checklist

Before submitting this form, make sure the following documents are attached. if one or more of the documents are missing, your application may be declined or processed with a dealy

Sole proprietorship	0000	Copy of registration certificate for registered concerns Copy of certificate or proof of membership of trade bodies etc, wherever applicable. Declaration of sole proprietorship on business letter head (as per provided format). Account opening requisition on business letter head.
Partnership	00000	Attested copy of 'Partnership Deed'. Attested copy of Registration Certificate with Registrar of Firms. In case the partnership is unregistered, this fact shall be clearly mentioned on the Account Opening Form. Authority letter from all partners, in original, authorizing the person(s) to operate firm's account.
Limited Companie / Corporations	0 00000	Resolution of Board of Directors for opening of account specifying the person(s) authorized to open and operate the account; Memorandum and Articles of Association;. Certificate of Incorporation; Certificate of Commencement of Business, wherever applicable; List of Directors on 'Form-A/Form-B' issued under Companies Act, 2017, as applicable; anc Form-29, wherever applicable.
Branch Office or Liaison Office of Foreign Companies	0000	A copy of permission letter from relevant authority i-e Board of Investment. Photocopies of valid passports of all the signatories of account. List of directors on company letter head or prescribed format under relevant laws/regulations. A Letter from Principal Office of the entity authorizing the person(s) to open and operate the account.
NGOs/NPOs /Charities	000000	Certified copies of Registration documents/certificate By-laws/Rules & Regulations Annual accounts/ financial statements or disclosures in any form which may help to ascertain the detail of its , sources and usage of funds. Resolution of the Governing Body/Board of Trustees/Executive Committee, if it is ultimate governing body, for opening of account authorizing the person(s) to operate the account.(iii) Photocopy of identity document i the authorized person(s) and of the members of Governing Body/Board of Trustees /Executive Committee , if it is ultimate governing body.
Agents	000	Certified copy of 'Power of Attorney' or 'Agency Agreement'. Photocopy of identity document of the agent and principal. The relevant documents/papers from if agent or the principal is not a natural person.
Executors and Administrators	0 0	Photocopy of identity document of the Executor/Administrator. A certified copy of Letter of Administration or Probate.
Govt. Institutions / Semi Govt.	00	Registration documents/certificate . By-Laws/Rules & Regulations.

Note: Tax and zakat Exemption certificates/affidavit are mandatory if exempted, CRS-E and FATCA NFE, Photocopy of identity documents(i-e valid CNIC/passport) along with list of the all the Directors/trustees/signatories/Executors/Administrators/Authorizers are mandatory for all, kindly note Attested means originally attested from Notary Public)

صرف آفس استعال کے لیے I or Office Use Only

	ہال کے لیے / For Office Use Only	صرف آفس استن	
ڈ سڑی <u>ءو</u> ڑ / Distributor	Name of Agent /۲۲۶۱	Sub-Agent /	مبرايجن كانام
ریزنس(ایجن کوز / Reference/Agent Code	CRM Lead		
		تأنى/متام / IC/Location/	
Deposite/CM⊤ Slip #	Acknowledgement Receipt #	Receipt	date
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