Transaction Form for Additional Investment in Mutual Funds



For assistance in filling out this form, speak with our Customer Care Executive at 0800-00026 or sms HELP to 8258.

General Instructions & Guidelines
1) Fill the form in block letters and in legible handwriting to avoid errors in application processing. 2) Fill the form yourself or get it filled in your presence. Do not sign and/or submit blank forms. 3) If any alteration is made, a countersign is mandatory. 4) Applications incomplete in any respect and/or not accompanied by required documents are liable to be held or rejected until complete requirements are fulfilled. 5) It is the responsibility of the applicant to carefully read and understand the guidelines and instructions provided in this form and the terms and conditions in the Offering Document(s) of the the relevant schemes prior to submitting the form. 6) Please obtain acknowledgement receipt against your payment. Application will be processed as per cut-off timings for the Fund(s) / Plan(s). For cut-off timings sms CT to 8258.
1 Principal Unit Holder Information (Mandatory)
Customer ID
Principal Unit Holder's Name (Please write in block letters)
2 Joint Unit Holder Information (If Applicable)
1. Name
3 Transcation Type - Additional Investment
Name of Scheme Type of Units Amount (Rs.) Units Mode of Holding 1 A/c Statement Physical Certificate CDS Holding
2 A/c Statement Physical Certificate CDS Holding A/c Statement Physical Certificate CDS Holding
1. Investment amount (Rs.) in words
2. Investment amount (Rs.) in words
Incase of CDC holding, please provide: Participant ID/IAS ID Client/House/Investor A/c #
Note: Incase you opt for 'physical certificates' as the units mode of holding, Rs. 25/- per certificate will be charged separately
Mode of Payment Instrument No. (Drawn on) Bank Name Branch Name & Code 1 Cheque Pay Order Demand Draft Online Transfer
2 Cheque Pay Order Demand Draft Online Transfer
Guidelines & Instructions 1) Cash will be not accepted. 2) Payment can be made in the form of a cheque, demand draft, pay order or online account transfer. 3) In case of investment in Fund(s), payment can be
made in favor of CDC Trustee UBL Funds' or in favor of CDC Trustee < Name of Fund > (as the case may be). In case of investment in plan(s), payment can be made in favor of CDC Trustee UBL Funds'. Instrument should be crossed 'Account Payee Only: 4) If payment instrument is returned, the unpaid application will be rejected. 5) It should be the responsibility of the applicant to pay all charges and taxes in relation to the units purchased by him/her. 6) Applications by foreign nationals and non-resident individuals shall be accepted subject to existing laws provided the subscription amount is paid by means of a remittance through
banking channels or through means permitted by the State Bank of Pakistan (SBP). 7) Front-end load (charges) will be applicable on investment as per Constitutive Documents of the Fund(s) / Investment Plan(s). 8) If you are opting for physical certificates, and wish to specify the number of units/denominations for the certificates, please provide a separate sheet/request mentioning your requirements.
4 Source of Funds (Mandatory)
Source of Funds (Multiple options may be selected) Salary Self-owned/ Family Business (Please specify)
Home Remittance Inheritance Stocks/ Investments Other
5 Cooling-off Right
The unit holders have the right to obtain a refund of their first time investment (cooling-off right) in a particular Collective Investment Scheme. The cooling-off right shall be available to the individual unit holders only. The cooling-off period shall comprise of three business days commencing from the date of issuance of investment report (transaction statement) to the unit holder. The cooling-off right shall be exercised by
the unit holder upon written request to the AMC. The investment amount is being refunded at the applicable NAV on the date the cooling-off right is exercised which shall be paid to the unit holder within six business days of receipt of written request from the unit holder. The AMC shall also refund any sales load paid by the unit holder. However, contingent load (Back end load) shall be payable by the unit holder where applicable.
6 Declaration & Signature(s)
I/We hereby acknowledge having read and understood the Supplementary Offering Document(s) of the Plan(s), the Trust Deed(s) and Offering Document(s) of the Fund(s)/Plan(s) and hereby apply for transaction(s)
as indicated in this form. I/we hereby confirm that I/we have received and read the latest Fund Manager Report and/or Fact Sheet as the case may be. I agree to abide by the terms, conditions, rules, and regulations applicable on the Fund(s) and Plan(s). I/We understand that investments in mutual funds are subject to market risks and fund prices may go up or down based market conditions. I/We understand that past performance is not necessarily an indicator of future results and there is no fixed or guaranteed return. I/We declare that the amount so invested is legitimate and not generated from money laundering activities.
i confirm that I have understood the details of Sales Load to be deducted including taxes I/We hereby also acknowledge that I/We have reviewed and understood the Total Expense Ratio. Management Fee, Selling & Marketing expenses, Front-end, Back-end and Contingent Load percentages of the Scheme as disclosed on the UBL Fund Managers website link https://www.ublfunds.com.pk/individual/resources-tools/-fund-performance-tools/latest-fund-prices/
For UBL Special Savings Plan-VI Only:
I/We confirm that I/We have understood the details mentioned below at the time of investment: • Back End Load (Deferred Sales Load) & Contingent Load (both referred as Exit Charges) to be deducted including taxes upon redemption of units before
completion of Twenty Four (24) months from commencement of Life of the respective Allocation Plan (excluding units redeemed during Subscription Period & Cash Dividend)
For information regarding the investment policy of the respective allocation plan, please refer to the Annexure 'B' of the offering document & thereto supplementals of 'UBL Special Savings Fund'
Profit Re-Investment Disclaimer: • I/we here by authorize UBL Fund Managers through this one time standing instruction to re-invest the profit/cash dividend (net of any applicable taxes) as and when disbursed for UBL Special Savings Plan VI (USSP-VI) in
I/we here by also confirm to inform UBL Fund Managers (in writing) in specified formate in case of any change in this standing instruction.
Date - - 1. 2. 3. (dd - mm - yy) Principal Unit Holder's Signature Joint Unit Holder'(s) Signature(s)
For Office Use Only
Distributor Name of Agent Sub-Agent Reference/Agent No. IC/Location Remarks